



A Robust Automatic Fingerprint Recognition System Using Multi-Connection Hopfield Neural Network

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<https://doi.org/10.18280/ts.390232>

Received: 6 February 2022

Accepted: 10 April 2022

Keywords:

biometrics, Hopfield neural network, Fingerprint, multi-connection Hopfield neural network, FVC-2004, NIST-4

ABSTRACT

Automatic fingerprint recognition has received significant attention because of its excellent fingerprint stability characteristics. Fingerprints will now explode in popularity as online stores allow payments and secure smartphones. The main objective of this study is to develop a fingerprint recognition system to identify individuals by using a new method, termed as 'Multi-Connection Hopfield Neural Network'. This might pave the way for the development of a more robust fingerprint recognition system that is more accurate and capable of working in noisy environments. Furthermore, such a system makes operations less complex and saves memory by employing additional tranches of auto-associative memory. This study uses three databases, namely FVC-2004, International NIST-4, and internal database, containing 80, 2000, and 2000 fingerprint images. The proposed fingerprint recognition system achieves 99.65% accuracy without noise. This study also shows that the proposed system works well in the presence of noise and achieves 96.07% accuracy in the presence of 50% random noise.

1. INTRODUCTION

In computer science, 'biometrics' (originally derived from the biological term 'biometry') is a mathematical or statistical model for identifying individuals based on physiological, behavioral, or chemical traits (or characteristics). These traits offer various benefits over token-based and knowledge-based identification, such as immutability, non-repudiation, unpredictability, and non-transferability, and give a very high degree of security against fraud. Biometric traits contain retinal scan, iris patterns, voice, fingerprint, signature, palm print, DNA, face, etc. In all biometric traits, a fingerprint is a prominent trait and continues to proliferate due to its uniqueness, cost-effectiveness, and user acceptance [1]. Fingerprints are graphic crests found on human fingers and are unique to each individual. It is defined as a harmonic pattern of interlocking ridges and valleys in the fingertip area. However, the pattern of ridges and valleys is contiguous for much of the fingerprint but also suffered from some deformations such as fractures, scars, cuts, and calluses [2]. Analysis of the pattern of ridges and valleys depicted on the fingerprint reveals different types of features that can represent three levels: (i) level 1 (global structure), (ii) level 2 (local structure), (iii) level 3 (low structure) [3, 4]. Figure 1 shows examples of different features of fingerprints that emerge from ridge and valley patterns. Level 1 features, also referred to as global structure, considered the entire fingerprint image as a representation of the features. Therefore, a single representation applies to the entire fingerprint. A significant feature of the global structure is the unique pattern of ridges and valleys called the singular point (SP), and significant

singular points are the core and delta (see Figure 1(b)). The core is the top point on the innermost ridges, and the delta is a midpoint where three different flows meet. Singular points provide essential information for coarse fingerprint enrolment and classification [5]. Level 2 features are also referred to as local structural features and are considered local regions of interest of ridges and valleys for feature extraction. Level 2 represents features called minutiae. Minutiae are the abrupt end of ridges such as ridge termination and ridge bifurcation (see Figure 1(c)) [5]. Minutiae are the most distinctive features so widely used in the fingerprint recognition task. Level 3 features, also called low structure features, deal with micro-level details on fingerprints, namely sweat pores, contour ridges, incipient ridges, cracks, creases, scars, and difficult-to-extract from fingerprints. Therefore, the level 3 features are used less frequently in the fingerprint recognition system.

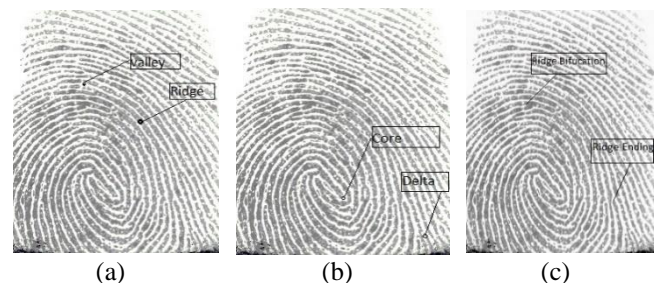


Figure 1. Illustration of various fingerprint features (a) ridge and valley, (b) global features (core and delta), (c) local features (ridge ending and bifurcation)

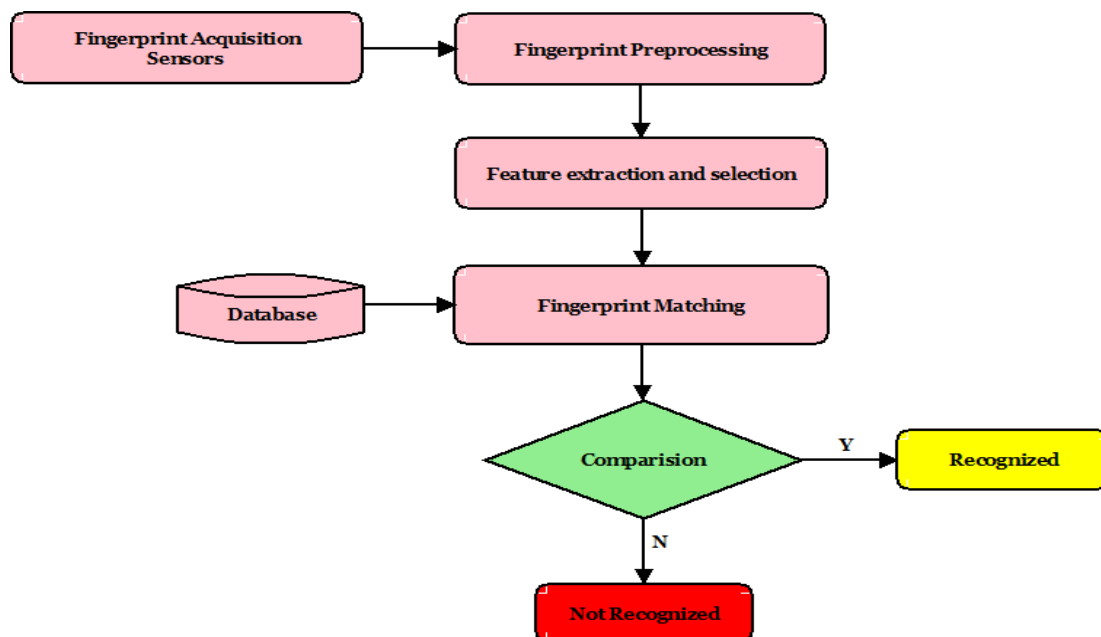


Figure 2. Basic model (flowchart) of automated fingerprint recognition system

An automated fingerprint recognition system (AFRS) refers to the automated technique of verifying similarity between two human fingerprints, eliminating the need for human expertise. Each AFRS consists of two phases: (i) enrolment phase and (ii) recognition phase. In the registration phase, the person is directly registered by storing the person's fingerprint features in the database for future use. While in the recognition phase, the fingerprint features captured from a person are compared with all fingerprints whose features are stored in the database [6]. Figure 2 shows the basic model for a fingerprint recognition system, with each phase broken down into the following sub-phases: (i) fingerprint acquisition, (ii) fingerprint image pre-processing, (iii) feature extraction, and (iv) database storage [7].

In the fingerprint capture step, fingerprint images are captured using sensors. Sometimes these fingerprint images are not suitable for processing due to less pressure, wetness, and dust on the sensor. Image pre-processing is the next and essential step to improve the quality of fingerprint images. The next step is feature extraction, which extracts features such as minutiae that are essential landmarks required for fingerprint recognition systems. It then stores these features in the fingerprint database. In the fingerprint recognition step, test fingerprint features are extracted and compared to the fingerprint database.

The performance of any fingerprint recognition system depends heavily on the adoption of efficient feature extraction and matching algorithms that reduce the probability of error in the recognition step. The quality of the fingerprint also plays a dominant role in the feature extraction step and depends on the skin condition, the person's age, and the sensor sensitivity. Therefore, it may be possible that the same finger creates different impressions at different instances due to the above factors. As a result, an AFRS system is required that can detect the similarities in all different fingerprint images of the same finger and match them to the same stored pattern [8]. The Hopfield Neural Network (HNN) is known to perform the task in this way. It is a fully connected neural feedback network used to store patterns in a manner somewhat similar to the brain, and when a complete or partial pattern is given, it can recall complete patterns (see details in the study of Singh and

Dixit [8]). But unfortunately, HNN suffers from limited storage capacity and inadequate recalling capability [9]. In order to overcome these problems, this study uses a modified form of HNN known as Multi-Connections Hopfield neural network (MC-HNN) to develop an AFRS system. MC-HNN uses multiple connections among the neurons to store each pattern independently and recall the correct stored pattern using the Lyapunov energy function and Hamming distance [10]. It caters to the need for storage capacity and inadequate recalling capability of HNN. Except this, by adding auto-associative memory in the form of MC-HNN, this system makes computation less complex and saves memory. Therefore, this study proposed a method to develop a fingerprint recognition system using MC-HNN that will work in a noisy environment.

The major contribution of this study is:

1. To propose a method for fingerprint recognition that utilizes MC-HNN, which improves accuracy and robustness in a noisy environment.
2. To pre-process the fingerprint images to make them suitable for the enrollment and matching operations.
3. To analyze the performance of the proposed method on fingerprint images of various datasets like FVC 2004, NIST4, and the Internal dataset.
4. To compare the performance of the proposed method in the presence of random noise in fingerprint patterns.
5. To compare the performance of the proposed method with some state of art methods.

This study contains six sections. Section 2 describes a literature review of recent previous work. Section 3 describes the MC-HNN in detail. Section 4 talks about the implementation of the proposed fingerprint recognition method, and Section 5 presents the experimental results and discussions. Finally, the conclusion is given in Section 6.

2. LITERATURE REVIEW

Extensive research works have already been carried out in the field of fingerprint recognition systems, and several approaches and techniques have been proposed. This section

discussed some latest work carried out in this field. Yadav et al. [10, 11] proposed a fingerprint matching method based on locating the matching regions on fingerprints. The positions of such regions (ROI) are determined by core point information, and feature vectors are extracted using the Zernike moment invariant for each fingerprint. The reason for using Zernike Moment Invariant as a feature extractor is due to its robustness for image noise, geometric invariant, and orthogonal property. During the fingerprint matching phase, these feature vectors are used to identify the corresponding ROI of two fingerprints using Euclidian Distance. The accuracy achieved by this method is 92.89%. Gu et al. [12] investigated a fingerprint recognition technique using model-based orientation field and minutiae. Fingerprint matching can be performed by combining the decision of matchers based on the global structure (orientation field) and the local clue (minutiae). Experimental results showed that combining such global and local discriminatory information can greatly improve performance. Park et al. [13] suggested a new approach for representing and matching the fingerprint using Scale Invariant Feature Transformation (SIFT). In this approach, a scale-space was constructed using a variable-scale Gaussian operator for an input fingerprint image, and a collection of Gaussian smoothed images and differences of Gaussian (DOG) was obtained. By observing each pixel in DOG space, they identified local minima and maxima and determined a histogram of the gradient orientation around these local extrema, which was used to extract feature points. This method also performs pre-processing to transform the distribution of the gray level intensities and extract noisy SIFT features points. In two public domain databases, the combination of SIFT with a minute-based matcher shows important efficiency enhancement. Jain et al. [14] have proposed a hierarchical matching system using three different levels of features. This method used Gabor filter and wavelet transform to improve the ridges and extract features like pores and ridge contours (level 3 features). This approach performs hierarchical matching in which orientation field and minutiae are first used to find out the correspondence between two fingerprints. Further, it utilized level 3 features like pores and ridge contours matching on a point-to-point basis in the matched minutiae neighborhood part. Alijla et al. [15] presented a method based on a neural network to extract minutia features (bifurcation and core point). This method trains an artificial neural network with a feed-forward propagation learning model over a pre-processed fingerprint dataset to extract bifurcation patterns. Finally, in the verification step, Euclidean distances between core points and branches are used to match the fingerprint to the desired fingerprint. The results show that the average fingerprint matching accuracy using this method is 91.6%. Chatterjee et al. [16] proposed an algorithm in which minutiae are extracted from the thin ridges of the fingerprints and refined using some heuristics and applied as input to the neural network for training purposes. During matching, the system identifies minutiae features of query fingerprint based on the training performance of the network. The experimental result shows that the number of recognized sample rates of this method is 95%. The major advantage of this method was the reduction in time complexity with large databases. Wang et al. [17] have suggested the use of a deep network architecture named stacked sparse autoencoder (SAE) to perform multi-class classification of fingerprints based on orientation field classification feature. The stacked sparse auto-encoders (SAE) with three hidden layers in the NIST-DB4 database

accomplished a 91.4 percent precision. They also used two probabilities for fuzzy classification, which effectively improved classification accuracy. Jeon and Rhee [18] proposed the convolution neural network-based fingerprint classification method that takes advantage of ensemble learning and batch normalization methods to improve the accuracy. This method used VGGNet as a CNN model and achieved an accuracy of 97.1 for the FVC 2000, 2002, 2004 datasets. Kaur and Kaur [7] used a combination of genetic algorithms and artificial neural networks to improve fingerprint identification accuracy. In this method, a genetic algorithm is used to extract minutiae, and a neural network is used to recognize the fingerprints. The accuracy of the proposed method is 68.75. The main limitation of this method is testing over a small dataset. Mohammad [19] used geometric shapes to extract features based on minutia points and applied these features as a set of descriptors for the fingerprint data on the classifier. The main problem with these descriptors is difficulties in reconstructing the original fingerprint image. This method uses neural backpropagation networks and a support vector machine as a classifier for feature classification and recognition. Patil and Suralkar [20] introduced a new approach of a fingerprint classification system based on neural networks and individual features like singular points. The application of singular point as a feature makes this method more robust and reliable and able to solve the problems about rotation and translation. This method also depicts improved classification accuracy because of the backpropagation algorithm that does not need to compare an input fingerprint image to all registered fingerprint images. Azzoubi and Ibrahim [21] proposed an algorithm using improved Gabor filter-based matching to capture both local and global details in a fingerprint as a compact fixed-length finger code. This method uses two 1-D Gabor filters for feature extraction with eight different directions, and Euclidean Distance (ED) between the two corresponding finger codes is used for fingerprint matching. Kouamo and Tangha [22] described a method based on an artificial neural network for authentication. In this method, a multilayer perceptron is trained using a backpropagation algorithm and provides an additional advantage of using the hidden layers, which allows the network to make the comparison by calculating probabilities on the template, which are invariant to translation and rotation. Appati et al. [23] proposed a transform-minutiae fusion-based model for fingerprint recognition that takes advantage of the fusion of two transform and minutiae models. The first transform, known as the wave atom transform, is used for data smoothing, while the second transform, known as the wavelet, is used for feature extraction. This method added these wavelet features to the minutiae features for detection. Tamrakar and Gupta [24] proposed a novel technique for fingerprint recognition in which the CNN network is used for enhancement and feature extraction of fingerprint images. This technique also uses an LSTM network that is trained by a combined set of minutiae and CNN features extracted from input fingerprints. After training, the LSTM network is ready to perform the identification or recognition operations. Exploiting the learning ability of the CNN features of the LSTM classifier, the proposed method would be able to achieve an efficient partial fingerprint recognition rate as well. Almajmaie et al. [25] proposed a new technique for fingerprint recognition by using associative memory, namely modified multi-connection architecture. This method uses associative memory. This method reduces the complexity of computations

to extract features and save memory by directly storing fingerprint patterns in the form of weights in an associative network. However, this method suffered from limited tolerance of noise.

After exploring the above literature on automated fingerprint recognition technology, the authors gained a wide range of ideas on fingerprint identification and matching methods. Although much work has already been done in the field of fingerprint technology by various researchers, there is still room for improvement. The existing systems still suffer from accuracy, simplicity, storage capacity, noisy environment, and response time. Hence, this study develops a fingerprint recognition system with a multiconnection Hopfield neural network (MC-HNN) that works well in terms of accuracy and robustness to noise [10]. Besides this, the proposed method adds MC-HNN as associative memory that makes use of complete fingerprints instead of extracting various types of features fingerprints that are complex to compute, store and match. Hence, this method makes fingerprint feature extraction and matching operations less complex and saves memory.

3. MULTI-CONNECTION HOPFIELD NEURAL NETWORK (MC-HNN)

Associative memory (AM) stores patterns collectively in the form of weight matrix or memory and produces a complete pattern when triggered from incomplete versions of patterns [25]. AMs can be either auto-associative or hetero-associative memory and implemented by artificial neural networks. Hopfield Neural Network (HNN) is a popularly used artificial neural network for auto-associative memory that mimics the functionality of the human brain [26, 27]. HNN is a recurrent network in which the output of each iteration is fed back as input in the next iteration using feedback connections and behaves like a nonlinear dynamic system that leads to generating multiple behavior patterns. One possible behavior pattern is the convergence of the network at a motionless point or fixed point. Considering this capability of the network, the

same fixed point can be treated as input and output for such networks. This keeps the network in the same state. The network also shows oscillations or chaotic behavior. It has been shown that HNN can function as a stable system with multiple fixed points. The convergence of the network to a fixed point can be determined by the starting point chosen at the beginning of the iteration. In the case of the Hopfield neural network, these fixed points are called attractors, and a set of points attracted to a particular attractor during iteration is known as a basin of attraction. All points that are part of the basin of attraction are connected to an attractor. The major limitations of HNN are its limited storage capacity, tolerance to limited noise ratio, and cross-association [28]. Therefore, a modified version of HNN called MC-HNN is used to overcome these limitations.

The architecture of MC-HNN is shown in Figure 3, where each neuron is connected to other neurons through multiple connections [10]. The connection weight between 'i' and 'j' neurons for the kth connection is given by w_{ij}^k (with $w_{ij}^k = w_{ji}^k$ and $w_{ii}^k = 0$). During the learning phase, each pattern is stored using the specific connection (for example, the kth pattern is stored in the network by using kth connection among all neurons and so on), whose result is an etalon array corresponding to a particular connection. Therefore, the weight matrix $W_{n \times n \times k}$ is determined by the collection of these etalon arrays (where n is the size of the patterns and k is the number of connections). The computation method and snapshot of weight matrix for MC-HNN are shown in Figure 4 (a) and 4(b) respectively.

In this architecture, the stability of states (i.e., global minima) is determined by computing the energy value of each pattern using the Lyapunov function and is given by Eq. (1):

$$E(p) = -\frac{1}{2} \sum_{i=1}^n \sum_{\substack{j=1 \\ j \neq i}}^n s_i(p) s_j(p) w_{ij}^p, \quad (1)$$

for $p = 1, 2, \dots, P$ and $\theta = 0$

where, each $S(p) = (s_1(p), s_2(p), \dots, s_i(p), \dots, s_n(p))$ is the pth stored pattern.

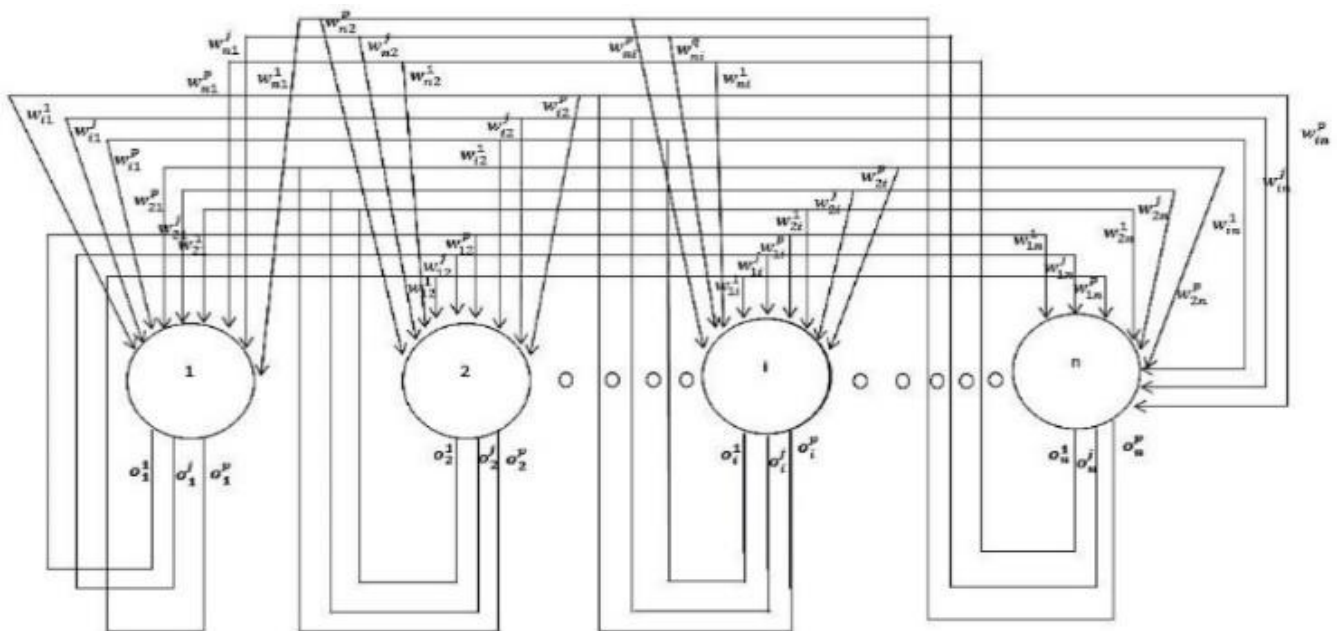


Figure 3. A snapshot of MC-HNN architecture [10]

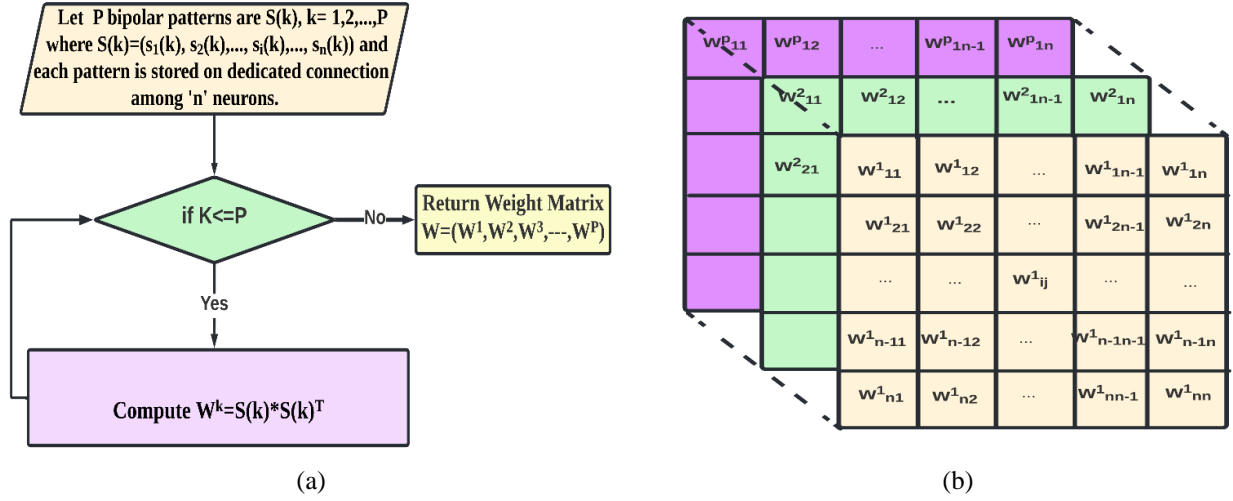


Figure 4. (a) Computation of weight matrix, (b) Pictorial representation of weight matrix of MC-HNN

During the convergence phase, the recall of a complete pattern corresponding to a noisy version of the input pattern is quite challenging because each etalon array contains a stable state. This problem can be solved by computing the energy value of the input pattern corresponding to each etalon array using the Lyapunov energy function given in Eq. (2). The etalon arrays with computed minimum energy values are selected as attractors because they show the input pattern's affinity. In simple words, the input pattern can be treated as a point in the attraction basin corresponding to these selected etalon arrays.

$$SE(p) = -\frac{1}{2} \sum_{i=1}^n \sum_{\substack{j=1 \\ j \neq i}}^n I_i I_j w_{ij}^p, \quad (2)$$

for $p = 1, 2, \dots, P$ and $\theta = 0$

After that, output vectors are computed corresponding to each selected etalon array using asynchronous updates. Eq. (2) and (4) presents the computation of output patterns using the input units $i=1, 2, \dots, n$ in random order (asynchronous update units) and also computes the energy value corresponding to output patterns that lead to convergence of input pattern to output pattern at minimum energy value using Eq. (5).

$$O_{net_i}(p) = I_i + \sum_j O_j(p) w_{ij}^p, \quad (3)$$

for $p=1, 2, \dots, P$

$$O_i(p) = \begin{cases} 1 & \text{if } O_{net_i}(p) > \theta \\ 0 & \text{if } O_{net_i}(p) == \theta \\ -1 & \text{if } O_{net_i}(p) < \theta \end{cases} \quad (4)$$

$$SE1(p) = -\frac{1}{2} \sum_{i=1}^n \sum_{\substack{j=1 \\ j \neq i}}^n O_i(p) O_j(p) w_{ij}^p, \quad (5)$$

for $p=1, 2, \dots, P$ and $\theta=0$

To get the correct output, the affinity of the input pattern to attraction basin(s) of various motionless points can be determined by computing the minimum value of energy function of $SE(p)$ (for $p=1, 2, \dots, P$), i.e., $\min(SE(1), SE(2), SE(3), \dots, SE(P))$. In the second step, select the output pattern corresponding to the etalon array with the minimum energy function value. If more than one output pattern seems to be the candidate solution, then Hamming distance (HD) plays a vital role in determining the correct output pattern. HD is used to

determine the number of bit differences in two binary vectors [27, 28]. A pattern with a smaller HD from the input test pattern gives the correct output pattern.

To ensure the output pattern's stability, we compared the energy value of the output pattern with the energy value of the stable point of the stored pattern. If the energy value of both is found to be the same, then recalled pattern is considered stable (or correct); otherwise, not.

In the subsequent section, the learning phase and convergence phase algorithm of MC-HNN is discussed in considerable detail.

3.1 Learning phase

During the learning phase, the weight matrix is computed, which is determined by computing etalon arrays corresponding to each pattern. Etalon arrays thus obtained are stored in the weight matrix. For these etalon arrays to act as attractors, the weight matrix is defined using the following algorithm.

3.2 Convergence phase

This phase is also known as the testing phase of an MC-HNN that is used to test the network with noisy or partial patterns for perfect recalling of stored patterns corresponding to noisy patterns.

This algorithm is also summarized through flowgraph as shown in Figure 5.

Algorithm 1: Learning algorithm to store patterns in MC-HNN

1. Let store a set of bipolar patterns $S(p)$, $p=1 \dots P$ where $S(p) = (s_1(p), s_2(p), \dots, s_i(p), \dots, s_n(p))$, the etalon arrays corresponding to each pattern is defined as:

$$W_{ij}^p = s_i(p) s_j(p) \quad \text{for } i \neq j \quad (6)$$

where, the weights have no self-connections i.e., $w_{ij}^p = 0$ for $i=j$.

2. The weight matrix is a collection of the etalon arrays given below:

$$W = (W^1, W^2, W^3 \dots \dots \dots, W^P) \quad (7)$$

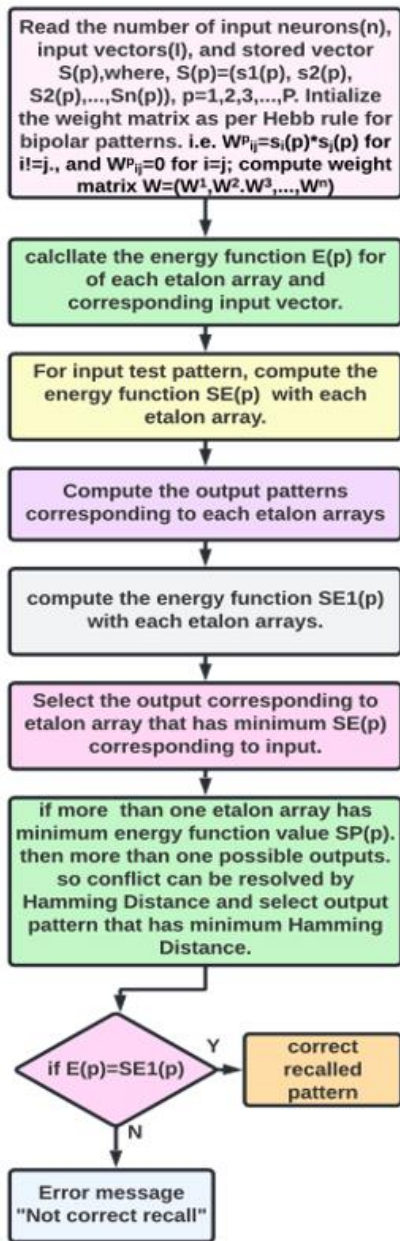


Figure 5. Flowchart of convergence phase

Algorithm 2: Recall of stored pattern

1. Initialize the weight matrix as per the Hebb rule for the bipolar pattern given in Eq. (7).
2. Calculate energy function value $E(p)$ (for $p=1,2,\dots, P$) corresponding to each etalon arrays and associated patterns using Eq. (1).
3. For the input testing pattern, compute the energy function value $SE(p)$ (for $p=1, 2,\dots, P$) corresponding to each etalon array and input pattern using Eq. (2).
4. Compute the output patterns $O(p)$ (for $p=1, 2,\dots,P$) corresponding to the input pattern and each etalon array by the asynchronous update and using Eqns. (3) and (4).
5. Compute the energy function value $SE1(p)$ (for $p=1, 2,\dots, P$) for output pattern corresponding to etalon array using Eq. (6).
6. To get the correct output, the affinity of the input pattern to attraction basin/s of various motionless points or attractors is identified by determining the minimum energy function value/s of $SE(p)$ (for $p=1, 2,\dots,P$), i.e., $\min(SE(1), SE(2), SE(3), \dots, SE(P))$.

7. Select the output pattern/s corresponding to the minimum energy function value as given in step 6.
8. If more than one output pattern is the candidate solution, select the pattern with minimum Hamming distance from the input test pattern.
9. Compare the energy function values $E(p)$ and $SE1(p)$ to check the output pattern's stability; if both the values are found to be the same, then the pattern is correctly recalled, otherwise print an error message.

4. PROPOSED FINGERPRINT RECOGNITION SYSTEM

The framework of the proposed AFRS is illustrated in Figure 6. The system is divided into three major steps, namely pre-processing, recognition, and identification, wherein unknown fingerprints are used as input. In the pre-processing step, several operations are performed to improve the quality of fingerprint images. In the recognition step, MC-HNN AM is used to authenticate and identification of these fingerprint images. The proposed AFRS is further explained in subsequent sections.

4.1 Pre-processing of fingerprint images

The fingerprint images are used in this study are taken from the various datasets and not of perfect quality, thus requiring image enhancement techniques to reveal finer details from fingerprint images which may be uncovered due to improper impression or the poor resolution of the sensor. In this study, fingerprint images are pre-processed by Histogram Equalization (HE), Fast Fourier Transform (FFT), Binarization [29]. A histogram is the graphical representation of the distribution of gray levels present in an image. HE is used to expanding the gray level distribution of an image that results in increased perceptual data. Figure 7 illustrates the results of HE on fingerprint images.

After histogram equalization, these fingerprint images are subjected to fast Fourier transformation to preserve the finer details. This method divides the fingerprint image into smaller square blocks of size 2^k , where $k \in Z$ and FFT of each block is computed according to Eq. (8).

$$F(u, v) = \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x, y) \times e^{-j2\pi(\frac{ux}{M} + \frac{vy}{N})} \quad (8)$$

for, $u=0,1,2,3,\dots,31$ and $v=0,1,2,3,\dots,31$

In order to improve a block by its dominant frequencies, the FFT of a block is multiplied by its magnitude (i.e., $|F(u, v)|$) by a set number of times (k). The value of k is experimentally determined and set to .35 here to calculate. To find the new enhanced block in the frequency domain, inverse FFT is performed according to Eq. (9).

$$g(x, y) = F^{-1}(F(u, v) \times |F(u, v)|^k) \quad (9)$$

where, $F^{-1}(F(u, v))$ is given below by the Eq. (10)

$$f(x, y) = \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} F(u, v) \times e^{j2\pi(\frac{ux}{M} + \frac{vy}{N})} \quad (10)$$

for, $x=0,1,2,3,\dots,31$ and $y=0,1,2,3,\dots,31$

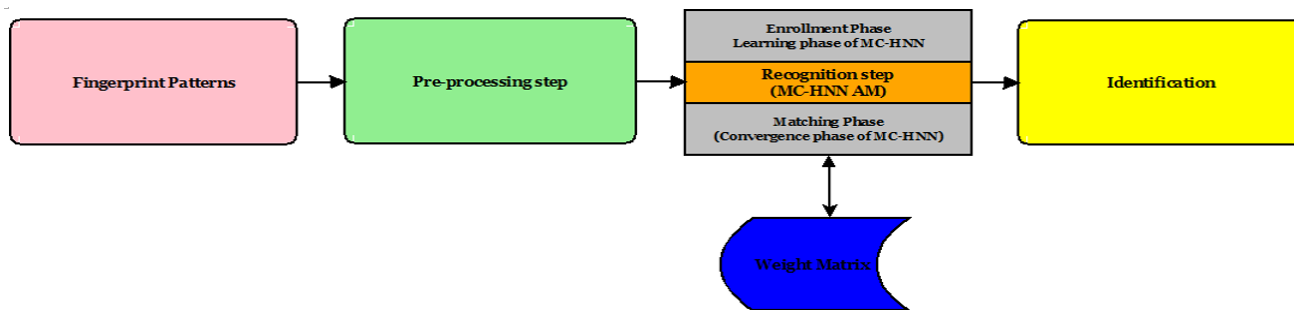


Figure 6. Framework of proposed fingerprint recognition system

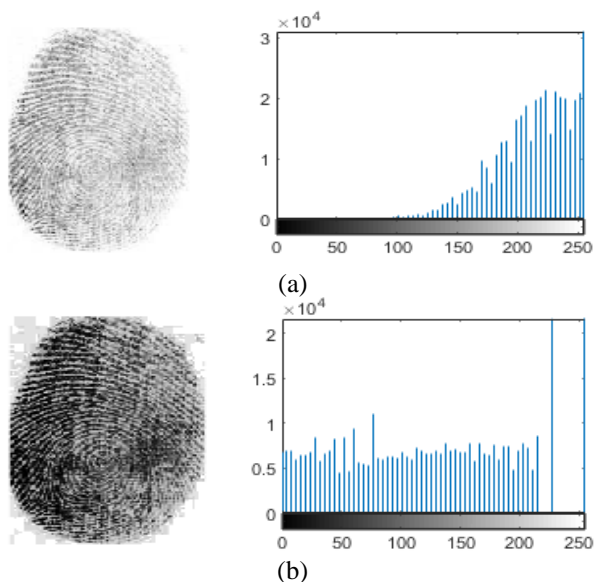


Figure 7. Result of histogram equalization (a) original fingerprint image and corresponding histogram (b) histogram equalized image and corresponding histogram

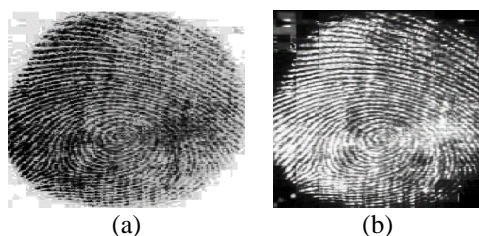


Figure 8. Fingerprint enhancement using FFT (a) Histogram equalized fingerprint image (b) FFT enhanced fingerprint image

Figure 8 shows the FFT enhanced fingerprint in which falsely broken points on the ridges are connected, and false connections between the ridges are removed.

Binarization is a crucial step in fingerprint pre-processing because the true information that could be extracted from the fingerprint is binary, i.e., ridge and valleys. If grayscale fingerprint images are considered for further processing, then intensities of ridges may vary and create a problem to decide the presence of ridges. Therefore, binarization transforms a 256-level grayscale image into a 2-level image with the same information. This study used thresholding and Bradley techniques to convert modified grayscale images into binary images by assigning 0-value for ridges and 1-value for valleys. The Bradley technique works in the locally adaptive manner in which the entire image is divided into smaller blocks and

based on the mean intensity of each block, binarization is performed [30]. Hence, the advantage of using the Bradley technique is better binarization and faster execution time. Figure 9 shows the results of binarization step after global thresholding (Figure 9(a)) and Bradley techniques (Figure 9(b)).

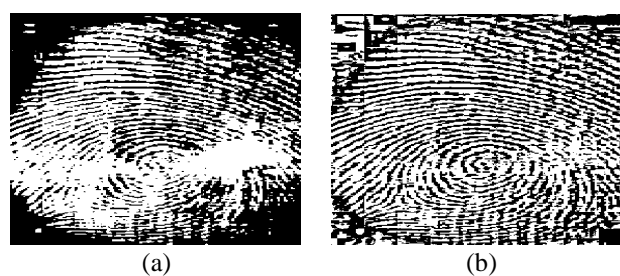


Figure 9. Binary fingerprint images after (a) Thresholding technique (b) Bradley technique



Figure 10. ROI of fingerprint image

Now the speed of AFRS can be increased by clipping and cropping the fingerprint area containing the fingerprint feature (ROI) and only needing to process 50% of the finger features, not all. Figure 10 shows the cropped ROI of the fingerprint image. The cropped fingerprint images are resized into 30×30 pixels. It can also be noted here that the speed of AFRS increases without reducing the accuracy of the system. The fingerprint images obtained after cropping and resizing is converted into bipolar patterns, with each pixel having value +1 and -1. These bipolar patterns are converted into a bipolar vector of size 900×1 . This conversion reduces the data to be processed and preserve image-related information.

4.2 Recognition of fingerprint images

Algorithm 3: Proposed fingerprint recognition system algorithm

1. Enrolment Phase
 - 1.1. Initialize the fingerprint dataset.
 - 1.2. Pre-process fingerprint images and convert them into bipolar patterns.

- 1.3. Fingerprint patterns are processed by MC-HNN as per learning phase algorithms (Algorithm 1).
- 1.4. Stored result in the form of weight matrix of MC-HNN.
2. Matching phase
 - 2.1. Select an unknown fingerprint image for recognition purposes.
 - 2.2. Pre-process an unknown fingerprint image and convert it into a bipolar pattern.
 - 2.3. Unknown fingerprint pattern is now processed as per convergence phase of MC-HNN (Algorithm 2)
 - 2.4. Compute the energy function of the output pattern.
 - 2.5. If the output pattern's energy function value is the same as the energy value of the input stored pattern corresponding to the etalon array of the weight matrix, then fingerprint is identified otherwise not identified.

This step makes use of MC-HNN in two phases: (i) enrolment phase (ii) matching phase. In the enrolment phase, each fingerprint pattern obtained from pre-processing step is stored in MC-HNN in the form of a weight matrix and used for the next stage. Meanwhile, in the matching phase, an unknown input fingerprint pattern is presented to MC-HNN in order to recall a stored pattern corresponding to the input pattern, and recognition is performed based on that output pattern. The major advantage of using MC-HNN is the recalling of the complete pattern corresponding to noisy or incomplete input patterns. Hence, this system provides robustness in a noisy environment. The additive advantage of this AFRS is the scalability that is achieved by adding the additional pattern in the form of an etalon array to the weight matrix obtained during the enrolment phase. Figure 11 shows the working of the proposed AFRS in the form of two phases and described by Algorithm 3.

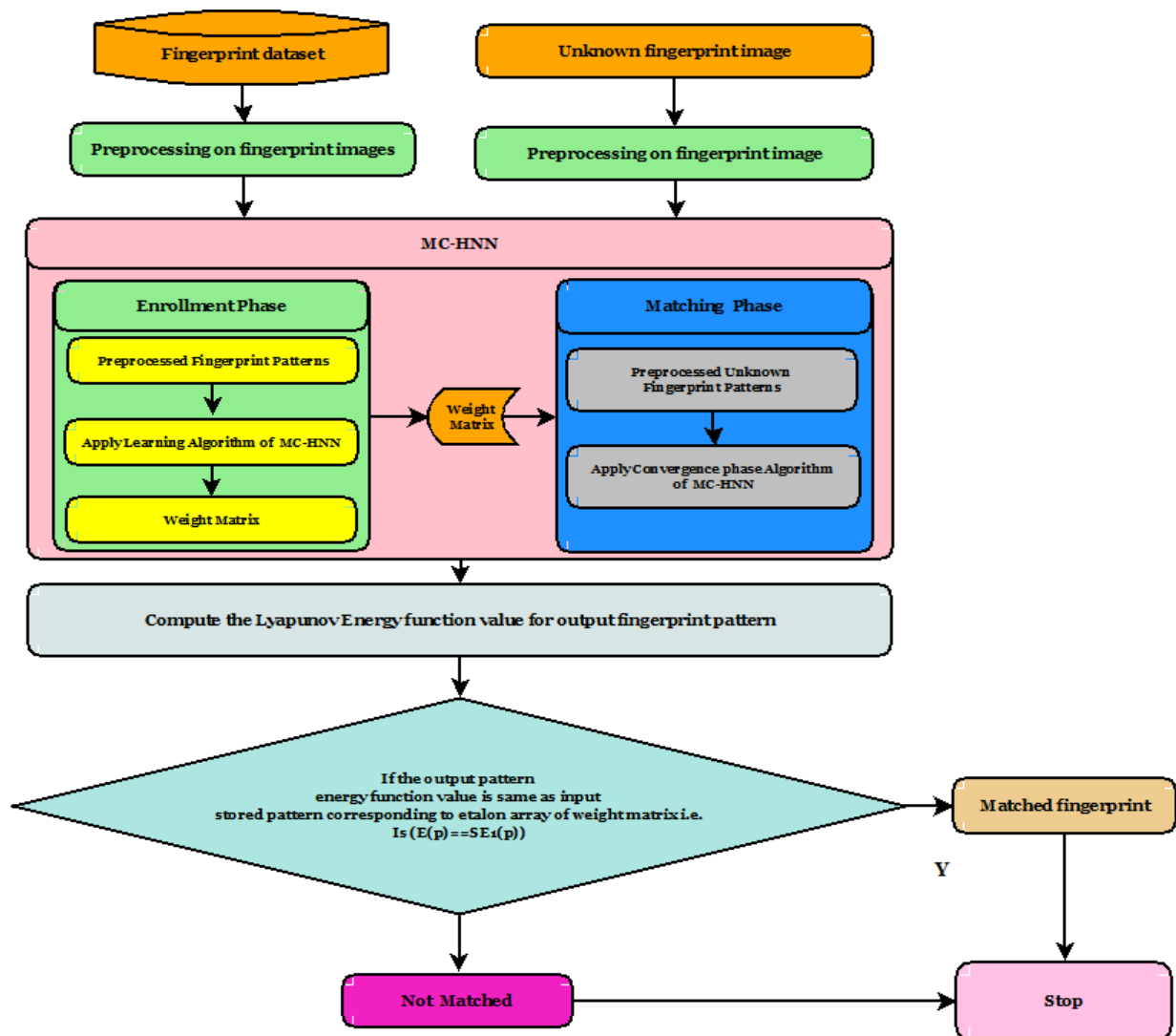


Figure 11. Enrolment and machining phases of proposed AFRS using MC-HNN

5. EXPERIMENTAL RESULTS AND DISCUSSION

The Experimental results and discussion of the proposed AFRS are presented in this section. This study performed all experiments on a personal computer with Intel 7th Gen Core i7-7700, 4GB NVIDIA GTX 1050 Ti Graphics card, 64 GB RAM, Window 10(64-bit) operating system. The program of

proposed AFRS is implemented on MATLAB (R2019a) using neural network and image processing toolboxes.

5.1 Datasets

The performance of our proposed AFRS is tested on three datasets, namely FVC 2004 [31], International NIST-4 [32],

and the internal database. The detailed description of each dataset is discussed below.

- (i) FVC 2004 contains eight different fingerprint images of 80 fingers, so a total of 640 fingerprint images. However, this study used only 80 fingerprints of individuals. Fingerprint images of different sizes are arranged in DB1, DB2, DB3, DB4 of size 640×480, 328 × 364, 300×480, and 288 ×384, respectively; and each having a resolution of 500 dots per inch [31].
- (ii) The internal dataset contains 2000 grayscale fingerprint images of size 300×400 of different individuals collected from college.
- (iii) The International NIST4 database contains two fingerprint impressions of 2000 individuals, so a total of 4000 grayscale fingerprint images of size 512×512. This study used unique 2000 fingerprint images of each individual [32].

It is also noted here all datasets used fingerprint images are stored in .tiff (tag image file) format that is immune to any deterioration and loss and provides the highest quality format for commercial use. In addition, this format is more versatile and eliminates the need for any compression.

5.2 Evaluation criteria

The performance of the proposed method is evaluated on the following evaluation matrices:

- (1) False Rejection Rate (FRR): it is the measure of the likelihood that AFRS will wrongly reject access of authorized user and is defined as follows:

$$FRR = \frac{\text{Total number of rejected persons}}{\text{total number of persons}}$$

- (2) Total Success Rate (TSR): It is the likelihood that ARFS will correctly accept access of authorized users and defined as follows:

$$TSR = \frac{\text{Total number of matched persons}}{\text{total number of persons}}$$

5.3 Result and discussion

In this section, the performance of the proposed AFRS is analyzed and compared with some state of art methods. In

order to evaluate the performance of the proposed AFRS, a total of three experiments are performed. In experiment 1, the performance of the proposed method is evaluated for all three given datasets by applying pre-processing step. Experiment 2 is carried out to prove the robustness of the proposed system under a noisy environment, and experiment 3 is performed to show the behavior of the system at different size fingerprint patterns and noise ratios.

Experiment 1: This experiment is performed to evaluate the performance of the proposed AFRS on all three datasets. The experiment shows that only 9 patterns out of 2000 and 12 patterns out of 2000 were not recognized by the system from the internal and NIST4 datasets, respectively, while all patterns were recognized from the FVC (2004). The detailed evaluation matrices for each dataset are given in Table 1.

It is observed from the table that the average accuracy of the proposed AFRS is 99.65% for all datasets. The binary techniques (Thresholding and Bradley) also play a significant role in the performance of the proposed system. By using the internal dataset, the threshold technique achieves an accuracy of 98.95% and fails to recognize 21 patterns out of 2000 patterns. This shows that the Bradley technique has higher accuracy compared to the threshold technique and is shown in Figure 12.

Experiment 2: This experiment is performed to show the robustness of the proposed system in a noisy environment. Here, the test fingerprint pattern is distorted by explicitly introducing random noise up to percentage of 0%, 10%, 20%, 30%, 40% and 50% by modifying 0, 90,180, 270, 350,450 bits respectively. The accuracy results for each dataset under various percentage noise are shown in Table 2.

It is observed from Table 2 that the average accuracy of the proposed system is satisfactory, and a minor degradation is seen as noise percentage in finger patterns increases. This is due to the presence of MC-HNN AM that retrieved complete pattern when triggered from the noisy pattern. Hence, the proposed AFRS performs well as compared to existing AFRSs in noisy environments. In the existing AFRSs, extraction of poor-quality fingerprint images is required to avoid errors in recognition and directly raise questions on the process of making low-quality images. The proposed AFRS performs better in poor-quality fingerprint images; therefore, the elimination of poor-quality images is not required, which saves the processing time of the system.

Table 1. Performance analysis of proposed system

Dataset Used	Number of samples	Recognized Patterns	Unrecognized Patterns	TSR	FRR	Recognition Rate (%)
FVC 2004	80	80	0	1	0	100
NIST 4	2000	1991	9	0.9955	0.0045	99.55
Internal	2000	1988	12	0.9940	0.0060	99.40

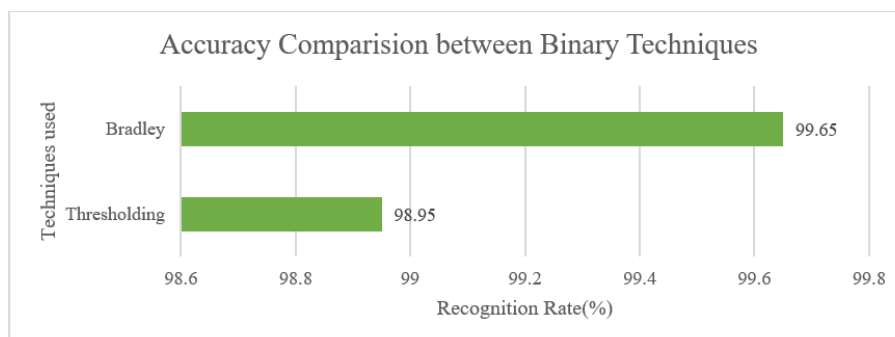


Figure 12. Accuracy comparison between binary techniques

Table 2. Accuracy (%) of the proposed system at various noise percentages in fingerprint patterns

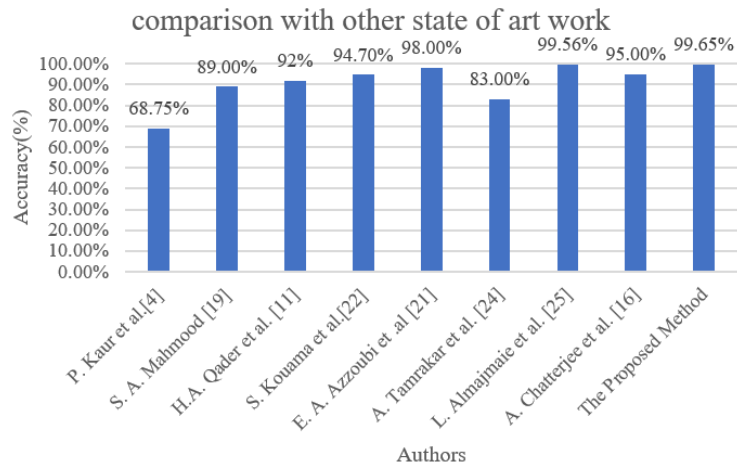
Datasets	Accuracy on various noise percentages in fingerprint patterns					
	0%	10%	20%	30%	40%	50%
FVC 2004	100	100	100	98.75	95.00	91.25
NIST 4	99.55	99.55	99.40	99.15	98.80	98.70
Internal	99.40	99.40	99.10	98.85	98.55	98.25

Table 3. Average accuracy (in percentage) of the proposed system with varying size fingerprint patterns in the noisy environment

Noise Percentage	Size of fingerprint patterns (in pixels)		
	30×30	40×40	50×50
0%	99.65	100	100
10%	99.65	100	100
20%	99.50	100	100
30%	98.92	99.60	100
40%	97.45	98.98	100
50%	96.07	98.30	99.80

Table 4. Comparative analysis of our proposed system with other ANN-based states of the art methods

S. No.	Authors	Dataset used	Accuracy (%)
1.	Alam et al. [4]	Internal dataset of 128 fingerprint images.	68.75%
2.	Mahmood [19]	Internal dataset of 560 fingerprint images	89.00%
3.	Qader et al. [11]	FVC 2002 dataset	92%
4.	Kouamo and Tangha [22]	BDAL dataset of 500 fingerprint images	94.70%
5.	Azzoubi and Ibrahim [21]	FVC 2000,FVC 2002, FVC 2004	98.00%
6.	Tamrakar and Gupta [24]	FVC2002	83.00%
7.	Almajmaie et al. [25]	NIST 4, FVC 2004, Internal Dataset	99.56%
8.	Chatterjee et al. [16]	FVC 2002	95.00%
9.	The Proposed Method	NIST 4, FVC 2004, Internal Dataset	99.65%

**Figure 13.** Average accuracy-based comparison of the proposed system with some state of art methods used in literature

Experiment 3: This experiment is conducted to show the behavior of proposed AFRS in noisy environments by varying the size of fingerprint images. In this experiment, fingerprint images of different sizes viz 30×30, 40×40, and 50×50 pixels are used. The average accuracy results for each dataset at 0 to 50% noise is depicted in Table 3.

It is observed from Table 3 that the average accuracy of the proposed system increases as fingerprint pattern size increases. It is due to the MC-HNN AM that easily selects the correct attraction basin for large size noisy test fingerprint patterns that result in greater average accuracy.

In order to show the effectiveness of the proposed AFRS, its performance is compared with other state of art methods. The accuracy [33] obtained by these methods is compared with the proposed method and is given in Table 4 and Figure 13.

The results given in Table 4 show that the proposed method

is superior to other state-of-the-art methods in terms of accuracy and achieved 99.65% accuracy. Therefore, the proposed system performs better for fingerprint recognition as compared to other state-of-the-art methods.

6. CONCLUSION

This study presented an innovative idea for an automatic fingerprint recognition system using MC-HNN to identify individuals. The application of MC-HNN as associative memory in the proposed system makes it more accurate and robust in noisy environments. Moreover, MC-HNN works on complete patterns instead of various levels of features extracted from fingerprint images. Hence, it reduces the complexity of the fingerprint recognition process by

eliminating the feature extraction step and also saves the memory that is required to store fingerprint features. The proposed method achieved promising results with 99.65% accuracy, which is higher than the other existing methods. Experimental results showed that the proposed method also works well in noisy environments. The performance comparison with the state-of-the-art methods indicates the effectiveness of the proposed system.

The results demonstrate that the proposed system can easily be extended into a commercial fingerprint biometric system. Hence, the future scope of this study is to develop a highly efficient and accurate fingerprint biometric system that shows low complexity and requires lesser space.

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