

## Reliability analysis and failure rate evaluation of load haul dump machines using Weibull distribution analysis

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### **ABSTRACT**

Improvement of multifaceted system quality requires a group of complex design modifications. An expanding complexity of system is potentially prone to increase in the failure frequency. Continuous and random occurrence of failures in a system could be the main cause for performance drop of machinery. Theoretical probability distribution is one of the techniques used to estimate the lifetime of a system and its sub-systems with several failure considerations. One of the most extensively used statistical approaches for reliability estimation is a Weibull distribution. In the present paper a three-parameter Weibull distribution approach was adopted to analyze the data sets of Load-Haul-Dumper (LHD) in underground mines using 'Isograph Reliability Workbench 13.0' software package. The parameters were evaluated using best fit distributions and Weibull likelihood plots. Percentage reliability of each individual subsystem of LHD was estimated. Further, an attempt has been made to identify the preventive maintenance (PM) time intervals for enhancing the expected rate of reliability.

## 1. INTRODUCTION

Increase of underground mining activity in India will have obvious positive effects on the demand for mechanized underground mining equipment. Although, the advanced mining technology would find entry into the Indian market, an intermediate level technology comprising LHDs would remain the mainstay of underground coal production, in view of the comparatively smaller size of mines. The average life of LHD could be in between six to ten years [1].

The estimation of equipment life and its possible extension is an important step in the overall decision-making process. Reliability analysis aids in this process to estimate the equipment life. One of the most extensively utilized lifetime distributions for reliability is a Weibull distribution. It is an exceptionally adaptable, suitable distribution for factor estimation and shows the variety sorts of failure rate activities. On the basis of shape parameter,  $\beta$  value, Weibull distribution is a versatile distribution that can take characteristics of other kind of distributions. In a Weibull approximation two or three parameters are utilized for every solution either scale, shape and location parameters. Mixture of strategies is available for assessing the values of these parameters; most of them are analytical and a few are graphical. Graphical strategies incorporate both failure rate (FR) plots and probability density function (PDF) plots. These strategies are not exact but they are moderately quick. The analytical strategies include most extreme probability approaches, the least square strategy and strategy of moments etc. The reliability of a system or sub-system can be estimated using two or three parameter (shape, scale and location) Weibull distributions [2]. Graphical strategies and analytical strategies are very predominant methodologies used for estimating the values of these parameters. Analytical

methods like maximum likelihood and least square methods etc., are considered as more accurate strategies. Maximum likelihood method, weighted least, square method and simulation procedures are used to get an exact value [3]. For modeling of best-fit analysis three varieties of Weibull distribution approaches such as 1-parameter Weibull, 2-parameter Weibull and 3 parameter Weibull distributions are available. The consequent best-fit data is helpful for the maintenance engineers to make a strategic decision on identification of critical component, that is likely lead to machine failure [4]. In this present analysis a 3-parameter Weibull distribution has been considered to estimate the reliability of sub-systems of LHD.

## 2. ROBABILITY DISTRIBUTION FUNCTIONS

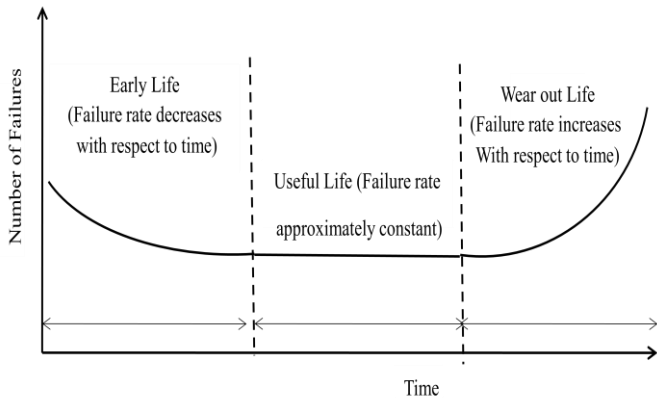
Reliability estimation is an essential part of mining organization for effective utilization of resources and to improve the health condition of equipment [5]. In order to estimate the Reliability of any kind of system, a wide variety of probability data distribution functions are being used. These could be termed as Exponential function, Lognormal function, Gamma function, 1-Parameter Weibull, 2-Parameter Weibull and 3-Parameter Weibull functions. Among all these methods, Weibull distribution function is one of the most commonly used method to evaluate the reliability [6].

## 3. EIBULL DISTRIBUTION

Due to flexibility, the Weibull distribution technique will

be broadly utilized to examine the available life data information of the system or sub-system to enhance the desired reliability. Relying upon the values of the parameters, the Weibull distribution could be used to show an assortment of life behaviors. In this distribution, cumulative probability, failure rate and probability density function (PDF) curves are changed by the influence of either shape parameter,  $\beta$ , scale parameter,  $\eta$  and location parameter,  $\gamma$  variation. Shape parameter,  $\beta$ , is moreover known as the Weibull slope. Diverse qualities of the shape parameter could need denoted impacts on the behavior of the distribution. In fact, a few values of the shape parameter will cause the distribution equations to decrease. For example, when  $\beta = 1$ , the PDF of 3-parameter Weibull decreases to that of the 2-parameter exponential distribution. The shape parameter  $\beta$  is a dimensionless number.

The most imperative perspectives of the shape parameter,  $\beta$  for 3-parameter weibull distributions are: if  $\beta < 1$  indicates that the rate of failure of a system or component will be decreasing with respect to time, this condition can be treated as early-life failure. Weibull distributions with  $\beta$  nearer to or equivalent to 1 have a constant rate of failure, also known as the useful life zone or arbitrary failure zone. Similarly, Weibull distributions with  $\beta > 1$  have an increased failure rate with respect to time, denoted as wear-out failure. A typical ‘bathtub curve’ plot clearly depicts the three segments of failure zones. Failure rate of blended Weibull distributions can be possible to observe with  $\beta < 1$ ,  $\beta = 1$  and  $\beta > 1$  sub-populations. A sample of typical bathtub curve is shown in Fig.1.



**Figure 1.** Typical bathtub curve (Reference: [8] modified)

### 3.1 Empirical approximation of Weibull distribution parameters

The empirical approximation of 3-parameter weibull distribution has been derived to identify the relations of PDF, CDF, hazard rate or failure rate and reliability. In order to derive these parameters the unreliability factor can be taken as a linear quadratic model shown in equation (2). This may help to identify the co-ordinates of both x and y-axis to plot the Weibull likelihood plots. A 3-parameter Weibull distribution’s unreliability or cumulative distribution function (CDF) parameter is shown in equation (1).

$$Q(t) = F(t) = 1 - e^{-\left(\frac{t-\gamma}{\eta}\right)^\beta} \quad (1)$$

$$Q(t) = 1 - e^{-\left(\frac{t-\gamma}{\eta}\right)^\beta}$$

where,  $\eta$ ,  $\beta$  &  $\gamma$  are shape, scale and location parameters. The linear form of equation (1) can be written as

$$y = mx + c \quad (2)$$

$$Q(t) = 1 - e^{-\left(\frac{t-\gamma}{\eta}\right)^\beta}$$

$$\ln(1 - Q(t)) = \ln \left( e^{-\left(\frac{t-\gamma}{\eta}\right)^\beta} \right)$$

$$\ln(1 - Q(t)) = -\left(\frac{t-\gamma}{\eta}\right)^\beta$$

$$\ln(1 - Q(t)) - \ln(a) = \ln \left( e^{-\left(\frac{t-\gamma}{\eta}\right)^\beta} \right)$$

$$\ln(-\ln(1 - Q(t))) = \beta \ln\left(\frac{t-\gamma}{\eta}\right)$$

$$\ln(-\ln(1 - Q(t))) = \beta \ln(t - \gamma) - \beta \ln(\eta)$$

$$\ln\left(-\ln\left(\frac{1}{1 - Q(t)}\right)\right) = \beta \ln(t - \gamma) - \beta \ln(\eta)$$

$$y = \ln\left(\ln\left(\frac{1}{1 - Q(t)}\right)\right), x = \ln(t - \gamma)$$

Thus the CDF equation can be written as

$$y = \beta x - \beta \ln(\eta)$$

This is now a linear equation, with an intercept of  $\beta \ln(\eta)$  and a slope of  $\beta$ . Co-ordinates of both x and y-axes of the Weibull probability plotting were derived. The x-axis is simply a logarithmic, since  $x = \ln(t - \gamma)$ . The y-axis is more complex and represented as

$$y = \ln\left(\ln\left(\frac{a}{Q(t) - 1}\right)\right)$$

Reliability is defined as the probability of a machine or its components to perform its designated job over an era of time in acknowledged circumstances. A 3-parameter Weibull distribution’s reliability function is given as

$$R(t) = e^{-\left(\frac{t-\gamma}{\eta}\right)^\beta} \quad (3)$$

The Weibull failure rate function is defined as the quantity of failures per unit time that can be anticipated to happen for the product. It is also known as hazard function, as shown in the equation (4).

$$h(t) = \frac{\beta}{\eta} \left(\frac{t-\gamma}{\eta}\right)^{\beta-1} \quad (4)$$

The 3-parameter Weibull probability density function  $f(t)$  is given as

$$PDF = f(t) = \frac{\beta}{\eta} \left(\frac{t-\gamma}{\eta}\right)^{\beta-1} e^{-\left(\frac{t-\gamma}{\eta}\right)^\beta} \quad (5)$$

$$CFD = F(t) = 1 - e^{-\left(\frac{t-\gamma}{\eta}\right)^\beta} \quad (6)$$

The mean time to failure (MTTF) or mean time between failures (MTBF) can be defined as the average life of failure-free operation up to a failure occurrence. The Weibull PDF of MTTF or MTBF is given as

$$MTBF = MTTF = \frac{1}{\lambda} \quad (7)$$

where  $\lambda = h(t)$  and  $\lambda$ =failure rate

#### 4. CASE STUDY

Present case study has been carried out in one of the underground coal mines of the Singareni Collieries Company Limited located in southern region of India. The colliery is currently being operated in Seam 4 and Seam 6 employing the bord and pillar method. Coal extraction is done by drilling and blasting, and LHD is used as the main work horse for coal handling and transportation. LHDs are used to scoop the extracted coal, load it into the bucket, and dump it in the bottom of mine to undergo primary crushing before being hoisted to the surface out of the mine [7]. Fig. 2 shows a typical LHD vehicle performing a loading operation.



**Figure 2.** A typical LHD machine at working environment

The SCCL operates both underground and open cast mines. 80% of the production comes from opencast mine and

20% is from underground mines. Technology has been a critical factor in the success of SCCL. For open cast mines, it uses technology like shovel dumpers, draglines, in-pit crushing, while for underground mining, it uses technology ranging from (Side-Discharge-Loader) SDLs & LHDs to highly mechanized long wall faces. An increase in productivity and decrease in utilization cost of SCCL can be largely attributed to the phase-wise mechanization and also the adaptation of state-of-the-art technologies.

#### 4.1 Data collection and classification

Before analyzing the machine's characteristics and failure data, the machine must be classified into a number of systems and subsystems in order to categorize the types of failure occurring on the machine. These classifications will depend up on the maintenance records kept by maintenance personnel, as well as the reasons described by these records, [8]. The classification of subsystems of an LHD are presented in Table 1.

**Table 1.** Sub-systems classification of LHD

Sub-system	Failure type	Code
Engine (E)	Piston-cylinder, radiator	SSE
Brake (Br)	Oil leakage, brake jamming	SSBr
Body (Bo)	Bucket wear out, welding	SSBo
Tyre/wheel (Ty)	Tyre puncher, rim failure	SSTy
Hydraulic (H)	Leakages, suspension system	SSH
Electrical (El)	Cable reel, socket, sensor	SSEl
Transmission (Tr)	Gear train wear out, lubrication	SSTr
Mechanical (M)	Structural failure, chassis	SSM

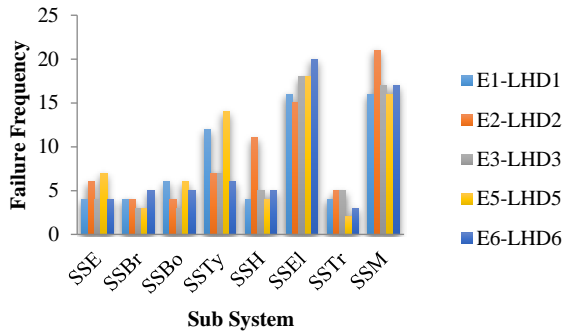
The very first step in reliability analysis is data collection. Complete and accurate data is essential to perform the reliability analysis in an effective manner. The failure and repair data presented in this paper relates to five numbers of LHDs with Emico Elicon make. The data has been collected over a period of one financial year from Apr' 2013 to Mar' 2014 by using hand written forms prepared by maintenance personal in the form of maintenance cards, daily reports and computerized recorded maintenance data base. These maintenance records include time to failure, the machine hour meter and the time to repairs of each subsystem etc. The collected data are shown in Table 2.

**Table 2.** Failure and repair data of various sub-systems of LHDs

Machine ID	Parameter	SSE	SSBr	SSBo	SSTy	SSH	SSEl	SSTr	SSM
E1-LHD1	FF (%)	4	4	6	12	4	16	4	16
	TBF (Hrs)	881	883	576	288	883	211	880	2012
	TTR (Hrs)	199	199	133	66	199	50	199	50
E2-LHD2	FF (%)	6	4	4	7	11	15	5	21
	TBF (Hrs)	644	979	977	558	341	259	785	155
	TTR (Hrs)	193	289	289	165	105	77	231	56
E3-LHD3	FF (%)	4	3	3	7	5	18	5	17
	TBF (Hrs)	845	1129	1132	478	676	183	676	181
	TTR (Hrs)	145	194	194	83	116	32	116	34
E5-LHD5	FF (%)	7	3	6	14	4	18	2	16
	TBF (Hrs)	535	1254	625	264	940	202	1879	222
	TTR (Hrs)	70	164	82	35	123	27	247	31
E6-LHD6	FF (%)	4	5	5	6	5	20	3	17
	TBF (Hrs)	977	782	782	648	781	188	1307	215
	TTR (Hrs)	148	118	118	99	118	30	197	35

## 4.2 Reliability analysis

**Failure Frequency of LHDs**



**Figure 3.** Failure Frequency of LHDs

Reliability is the probability of a machine or its components to perform the specified task with in a given interval of time before going in to failure mode [9]. Sub-systems of the LHD's are classified into eight numbers of varieties such as Engine (SSE), Braking system (SSBr), Body (SSBo), Tyre (SSTy), Hydraulic system (SSH), Electrical system (SSEI), Transmission system and Mechanical system (SSM) and each sub-system has different frequency of failures. The typical example of frequency of failures of each

individual sub-system is shown in Fig.3. Each sub-system can have both repairable and non-repairable components. Repairable components would be repaired at the time of regular scheduled maintenance. Some of the failed components in the sub-systems are not possible to repair during scheduled maintenance. These are considered as non-repairable components and can be replaced by a new set. These non-repairable component failures are treated as censored failures and replacement time of these components are treated as censored data [10].

## 4.3 Estimation of failure rate (FR) and probability density function (PDF)

The failure rate (FR) and probability density function (PDF) values of each individual LHD were calculated on the basis of repair time data. This data includes transportation time for a failed machine or component from its operating location to workshop, the actual maintenance time and time to restore the repaired component or a machine to its original condition/location. Most of the cases of failed components and their repair can be corrected at the working location itself. The calculated values of FR and PDF are shown in Table 4 and consequent weibull likelihood plots are illustrated in Fig. 3 to 12.

**Table 3.** Weibull parameters of LHDs

Machine ID	Weibull Model	Weibull Paramete		
		$(\eta=\text{scale}/\text{life}, \beta=\text{shape}, \gamma=\text{location})$		
		$\eta$	B	$\Gamma$
E1-LHD1	Weibull 3P	132.5	1.094	25.97
E2-LHD2	Weibull 3P	208.3	1.802	-4.245
E3-LHD3	Weibull 3P	233.3	3.264	-93.92
E5-LHD5	Weibull 3P	70.48	0.668	24.75
E6-LHD6	Weibull 3P	570.9	10.16	-437.4

**Table.4.** Failure rate (FR) and probability density function (PDF) of LHDs with reference to each sub-system

Machine ID	Parameter	SSE	SSBr	SSBo	SSTy	SSH	SSE	SSTR	SSM
E1-LHD1	FR	0.0039	0.0067	0.0070	0.0074	0.0078	0.0078	0.0081	0.0085
	PDF	0.0038	0.0060	0.0057	0.0050	0.0046	0.0039	0.0035	0.0030
	TTR (Hrs)	199	199	133	66	199	50	199	50
E2-LHD2	FR	0.0085	0.0104	0.0104	0.0076	0.0055	0.0044	0.0095	0.0032
	PDF	0.0032	0.0022	0.0022	0.0035	0.0038	0.0035	0.0027	0.0029
	TTR (Hrs)	193	289	289	165	105	77	231	56
E3-LHD3	FR	0.0135	0.0225	0.0225	0.0088	0.0110	0.0039	0.0110	0.0039
	PDF	0.0054	0.0030	0.0030	0.0052	0.0054	0.0039	0.0054	0.0033
	TTR (Hrs)	145	194	194	83	116	32	116	34
E5-LHD5	FR	0.0106	0.0074	0.0093	0.0134	0.0084	0.0765	0.0064	0.0134
	PDF	0.0048	0.0014	0.0033	0.0081	0.0024	0.0754	0.0007	0.0081
	TTR (Hrs)	70	164	82	35	123	27	247	31
E6-LHD6	FR	0.0259	0.0138	0.0138	0.0099	0.0138	0.0023	0.0467	0.0034
	PDF	0.0056	0.0064	0.0064	0.0058	0.0064	0.0020	0.0025	0.0029
	TTR (Hrs)	148	118	118	99	118	30	197	35

## 4.4 Results and discussion. Weibull parameter estimation

Weibull distribution parameters were estimated using 'Isograph Reliability Workbench 13.0' software tool. The statistical values of reliability, unreliability, failure rate, PDF and CDF have been computed accurately by utilizing failure and repair data of each LHD. The estimated data of Weibull parameters are shown in Table 3.

## 4.5 Estimation of reliability and Un-reliability

The percentage of reliability and unreliability of each individual sub-system of LHDs are determined based on 3-parameter weibull probability distribution function using Isograph reliability workbench 13.0 (Table 5).

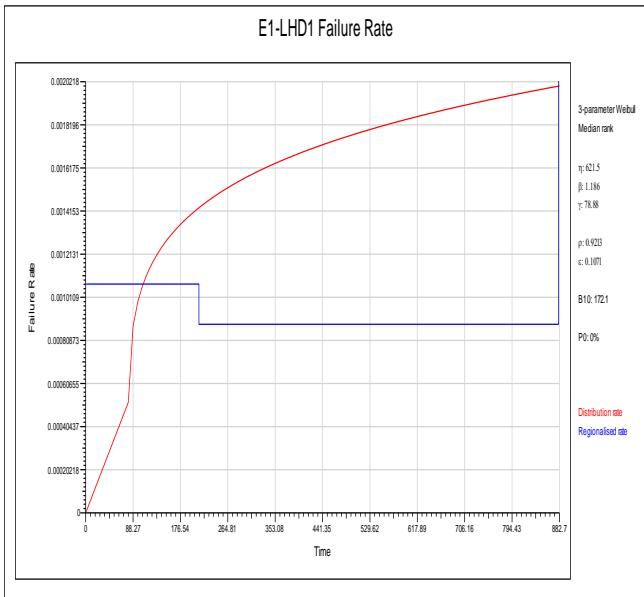


Figure 4. Failure rate of E1-LHD1

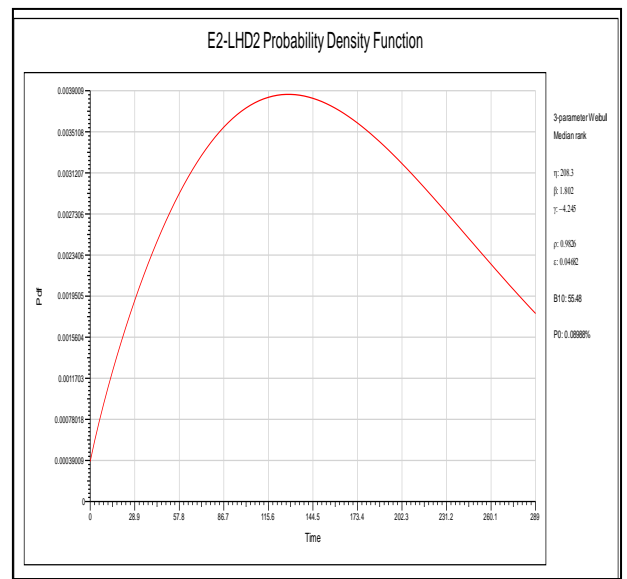


Figure 7. Probability density function of E2-LHD2

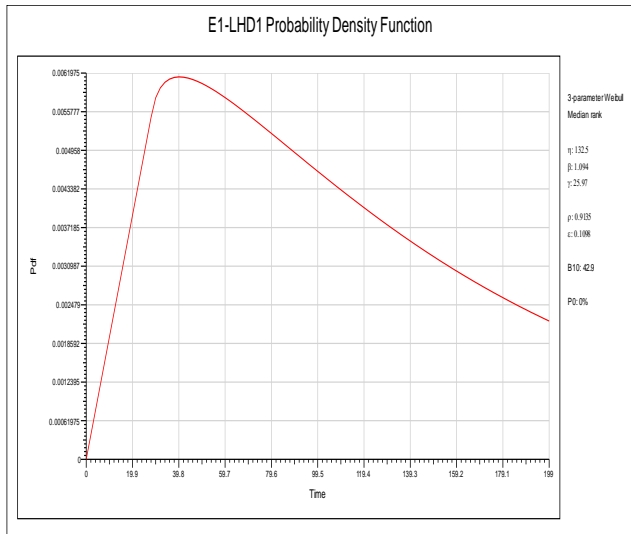


Figure 5. Probability density function of E1-LHD1

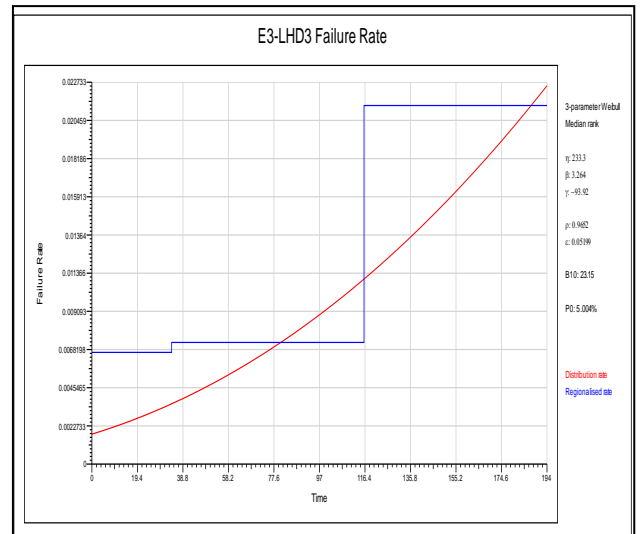


Figure 8. Failure rate of E3-LHD3

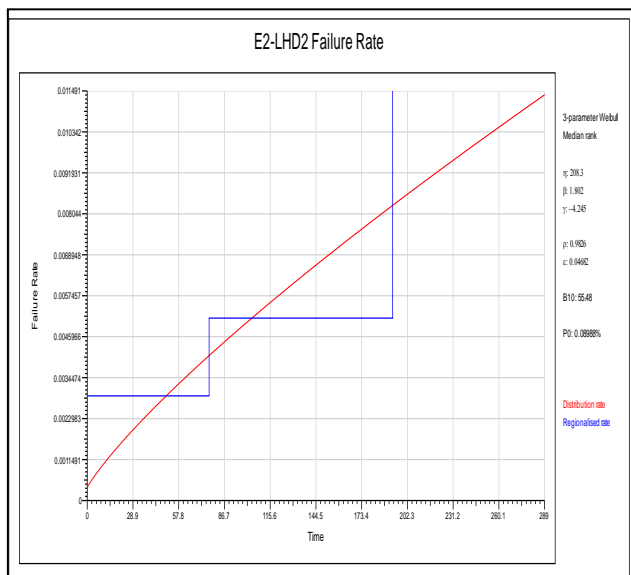


Figure 6. Failure Rate of E2-LHD2

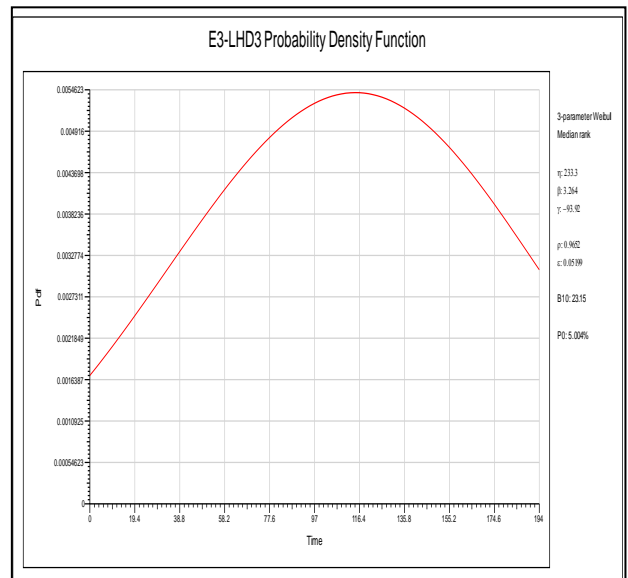


Figure 9. Probability density function of E3-LHD3

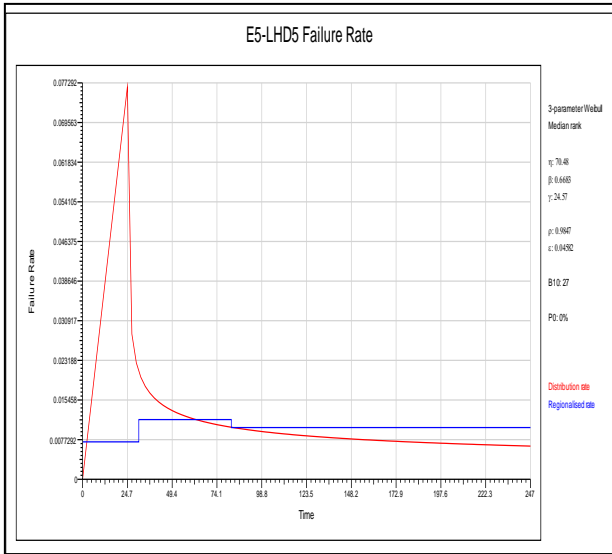


Figure 10. Failure rate of E5-LHD5

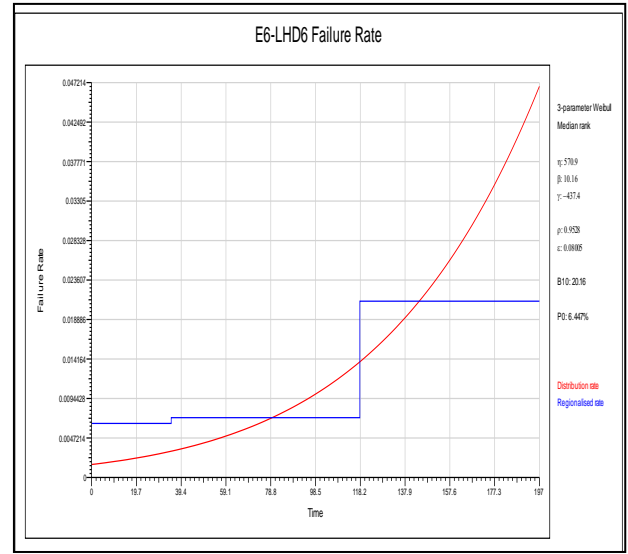


Figure 12. Failure rate of E6-LHD6

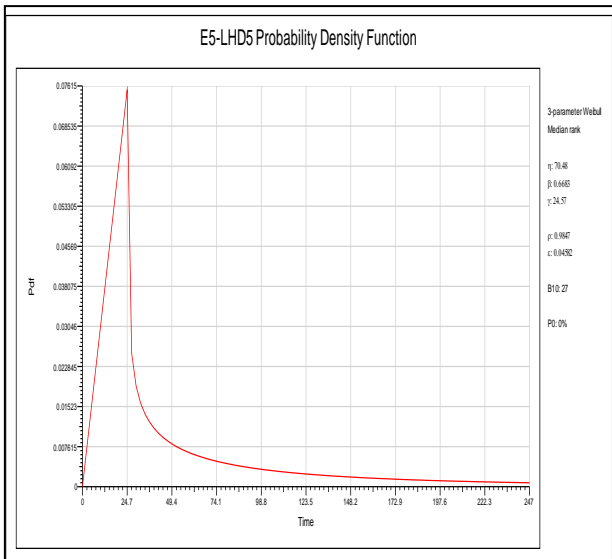


Figure 11. Probability density function of E5-LHD5

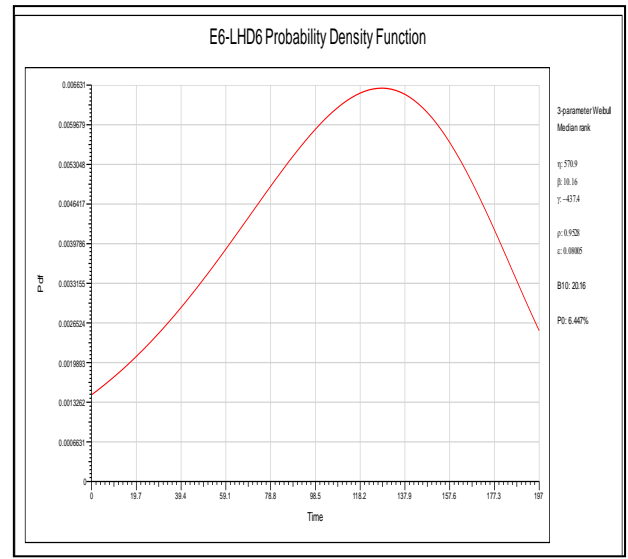


Figure 13. Probability density function of E6-LHD6

Table 5. Percentage of reliability and unreliability of LHDs

Machine ID	Parameter	SSE	SSBr	SSBo	SSTy	SSH	SSEI	SSTr	SSM
E1-LHD1	F (%)	55.95	67.86	44.05	32.14	79.76	8.33	91.67	20.24
	R (%)	44.05	32.14	55.95	67.86	20.24	91.67	8.33	79.76
	TTR (Hrs)	199	199	133	66	199	50	199	50
E2-LHD2	F (%)	55.95	79.76	91.67	44.05	32.14	20.24	67.86	8.33
	R (%)	44.5	20.24	8.33	55.95	67.86	79.76	32.14	91.67
	TTR (Hrs)	193	289	289	165	105	77	231	56
E3-LHD3	F (%)	67.86	79.76	91.67	32.14	44.05	8.33	55.95	20.24
	R (%)	32.14	2024	8.33	67.86	55.95	91.67	44.05	79.76
	TTR (Hrs)	145	194	194	83	116	32	116	34
E5-LHD5	F (%)	44.05	79.76	55.95	32.14	67.86	8.33	91.67	20.24
	R (%)	55.95	20.24	44.05	67.86	32.14	91.67	8.33	79.76
	TTR (Hrs)	70	164	82	35	123	27	247	31
E6-LHD6	F (%)	79.76	44.05	55.95	32.14	67.86	8.33	91.67	20.24
	R (%)	20.24	55.95	44.05	67.86	32.14	91.67	8.33	79.33
	TTR (Hrs)	148	118	118	99	118	30	197	35

## 5. PM TIME INTERVALS

PM is defined as the set of activities performed in an

attempt to hold the components as per desired condition [11]. In this paper these intervals were computed with respect to the expected percentage of reliability as show in Table 6.

From the calculated quantities it is estimated that if the requirement of reliability is 90% for E1-LHD1, then the PM schedule should be conducted at a frequency of every 38 hours. Similarly, for E2-LHD2, E3-LHD3, E5-LHD5 and E6-LHD6 the durations are 56 hours, 23 hours, 27 hours and 20 hours respectively.

**Table 6.** Reliability based PM time intervals for LHDs

Reliability Level	Preventive Maintenance Time Interval, Hrs				
	E1-LHD1	E2-LHD2	E3-LHD3	E5-LHD5	E6-LHD6
<b>0.90</b>	38	56	23	27	20
<b>0.85</b>	50	72	40	30	40
<b>0.80</b>	62	87	54	32	55
<b>0.75</b>	73	100	65	36	68
<b>0.70</b>	84	114	76	40	79

## 6. CONCLUSION

Continuous operation of equipment with a minor failures can only be possible by organizing the proper maintenance planning and implementation. Highest equipment availability and its effective utilization are the two important factors to improve the reliability. Reliability of LHDs was calculated with 3- parameter Weibull distribution analysis. The empirical approximation of this distribution was derived to identify the relations of PDF, CDF, FR and reliability. Weibull distribution parameters such as scale, shape and location parameters were estimated with respect to failure and repair data set. It was observed that the lowest level of reliability was associated with SSEI (8.33%) and SSM (20.24 %) in most of the LHDs (Table 5). It was concluded that unexpected breakdowns and its consequent idle times of the machine are the major causes for reduction in overall equipment performance. Computation of reliability based PM schedules, aids in designing and implementing a maintenance strategy that would potentially increase/enlarge the expected life of the machine. From the results it was observed that in order to achieve the maximum level of reliability i.e., 90%, effective preventive maintenance is necessary for every 38 hrs for E1-LHD1; for E2-LHD2 this could be 56 hours; E3-LHD3 for this could be 23 hours etc (Table 6). In this study overall equipment performance of the LHDs was not considered and performance evaluation was based only on availability and utilization calculations. Future research should include measurement of key performance indicators (KPI).

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