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Development of a Multi-Objective Optimization Model Using NSGA-II for Flow Shop Production Machine Scheduling to Support Manufacturing Industry



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ABSTRACT

With the rapid pace of industrial growth, the demand for optimized scheduling has become increasingly critical. Scheduling is the activity of allocating limited resources to perform a few jobs. The purpose of this study is to build an optimization model in solving the flow shop production machine scheduling by minimizing 2 objects, namely makespan and total tardiness. Optimization of these two objects is an opposite optimization. The method used is the Non-Dominated Sorting Genetic Algorithm for multi-objective optimization: NSGA-II algorithm which can integrate the problems of 2 objects. This algorithm is developed into an optimization form to see the value of the solution. The developed model will provide a flow shop production machine scheduling solution in the form of a Pareto optimal solution that can provide a set of alternative solutions for decision makers in making production machine scheduling. To see the value of the solution, a comparison of the dominance of the Aggregate of Function (AOF) solution with the NSGA-II solution is carried out. The Pareto-optimal solution produced by NSGA-II successfully dominates 100% of the AOF solution. Similarly, a comparison of NSGA-II solutions with AOF solutions shows that the population of Pareto-optimal NSGA-II solutions is better, successfully dominating 100% of the AOF solutions. Thus, all Pareto-optimal solutions are equally good

1. INTRODUCTION

Production machine scheduling in the industrial world, whether in manufacturing, production, or agro-industry, plays a crucial role in decision-making [1]. Companies strive to achieve the most effective and efficient scheduling to increase productivity while minimizing total cost and time [2]. One model that can be applied to made-to-order manufacturing environments is the flow shop scheduling model [3]. Production machine scheduling problems typically involve the arrangement and management of jobs to be processed on a series of machines. One of the difficulties in arranging and managing jobs across available machines is the difficulty of finding appropriate techniques to create an optimal production machine scheduling model that meets all established scheduling criteria.

The scheduling model developed in this study currently assumes ideal conditions where all machines are continuously available and each worker has homogeneous skills. To improve the practicality and robustness of the solution, sensitivity analysis and robustness testing are recommended. Sensitivity analysis can be performed by varying critical parameters, such as machine processing time, breakdown

probability, or worker productivity, and then evaluating the changes in objectives, such as makespan or total tardiness.

In addition, robustness testing can be performed through Monte Carlo simulations incorporating random distributions on critical variables, or through robust optimization methods, where the optimization objective is expanded to minimize the worst-case impact of disruptions. In this way, the solution is not only nominally optimal but also robust to uncertainty, thus providing a clear trade-off between efficiency (makespan) and schedule stability. Integrating robustness metrics into multi-objective models, for example as additional objectives in NSGA-II or AOF, will yield Pareto solutions that reflect the balance between performance and practicality under real-world production conditions.

In flow shop scheduling, there are a number of jobs, each of which has the same sequence of tasks on the machines. A schedule can be modeled as a flow shop scheduling problem if the sequence of tasks is aligned [4]. Research on production machine scheduling has been conducted using the Johnson and Campbell algorithm [5]. Flow shop scheduling has evolved from single-objective (optimization with a single function) to multi-objective (optimization with multiple objective functions) [6]. In multi-objective cases, a set of optimal

solutions will be produced, known as Pareto-optimal solutions and multi-objective evolutionary algorithm framework [7, 8].

Several studies have developed models related to flow shop production machine scheduling, using both single-objective and multi-objective optimization. Research on production machine scheduling with a single objective function that optimizes makespan value has been conducted by Ying et al. [9], Xu et al. [10] and Karacan et al. [11]. Research on production machine scheduling conducted by Kurt [12] relates to flow shop scheduling with 2 objective functions, namely completion time and tardiness, but has a fairly long computation time. Nejjarou et al. [13] and Ezugwu [14] studied production machine scheduling with 2 objective functions, namely total weighted completion time and makespan [15]. We studied two objective functions, namely makespan and total flow time [16, 17]. Research on production machine scheduling with two objective functions: makespan and total tardiness has been conducted by Wang et al. [18] and Mousavi et al. [19]. In general, all of the above studies demonstrated good computational performance, capable of mathematically formulating objective functions for flow shop scheduling. However, most of the methods used were only capable of solving dependent problems, meaning they could only be used on specific problems depending on the type of problem (heuristic). Research on optimization and mathematical patterns has been carried out by research teams [20, 21]. Research on multi-objective was also conducted by Hutagalung and Azlan [22] using the AHP method.

In the case of multi-objective optimization, the Non-Dominated Sorting Genetic Algorithm for multi-objective Optimization: NSGA-II algorithm is a group of Metaheuristic Algorithms that have been tested for reliability compared to other multi-objective optimizations. NSGA-II development method of Genetic Algorithm (GA) and NSGA. Compared with GA and NSGA, NSGA-II is distinguished by the use of crowding distance operators to produce better Pareto optimal solutions. Research using NSGA-II has been conducted by Labidi et al. [23] and Ransikarbum et al. [24], who studied multi-objective for vehicle routing problems, Nielsen et al. [25] and Araújo et al. [26] conducted a study of multi-objective optimization cases in portfolio management cases and Preuß et al. [27] conducted a study that was able to create a new method called omni optimizer which was adopted from NSGA-II for both single and multi-objective optimization cases.

NSGA-II (Non-dominated Sorting Genetic Algorithm II) is a multi-objective evolutionary algorithm used to solve optimization problems with more than one objective function (multi-objective optimization problems). NSGA-II was introduced by Deb and Tiwari [28] as an improvement on the previous NSGA algorithm.

In multi-objective algorithm-based research (e.g., NSGA-II, MOEA/D, AOF), computational complexity and real-time performance are very important aspects, especially if the final target is implementation in industry. Without this analysis, it is difficult to assess the feasibility of adoption because the algorithm's performance in the real world is greatly influenced by time and resource constraints. In conducting Real-Time Performance experiments, this can be done by measuring realworld performance, recording: (1) Average iteration time (ms): how long it takes one generation to complete, (2) Total runtime (s): total time until convergence, and (3) Scalability: the runtime increases with larger populations/generations.

Advantages of NSGA-II [29, 30]: (1) Efficient time complexity (O(MN^2), M = number of objectives, N = population size), (2) Eliteness mechanism, (3) Maintains solution diversity with crowding distance.

In this study, the comparison was only conducted between NSGA-II and AOF. Benchmarks against other widely used multi-objective algorithms, such as MOEA/D or SPEA2, were not conducted, so the superiority of NSGA-II in this context is limited to the selected test cases.

To demonstrate the universal superiority of NSGA-II, additional benchmarks against other multi-objective algorithms, such as MOEA/D or SPEA2, are needed, which could be a potential direction for future research.

Based on the above problems, it is necessary to conduct research to analyze the multi-objective NSGA-II algorithm in flow shop production machine scheduling to optimize 2 objective functions, namely makespan and total tardiness, so as to provide a set of alternative solutions for decision makers.

2. METHODS

In the optimization of production machine flow shop scheduling, the makespan and total tardiness values will be compared before and after the implementation of NSGA-II multi-objective optimization. In general, the flowchart of the research design can be depicted in Figure 1.

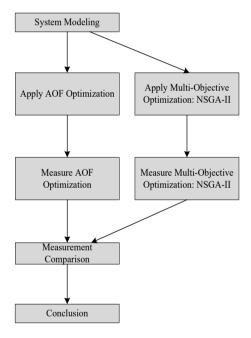


Figure 1. Research design flowchart

2.1 Data collection

To test the system, the data tested was secondary data obtained from http://www.upv.es/gio/rruiz which consisted of 110 instances of data cases to be tested.

These data will then be used to model the system and processed using the multi-objective algorithm: NSGA-II.

A real-world case study in production scheduling: An automotive components factory in West Java faces production delays due to increasing customer order variation and machine limitations. Industry data: (1) Period: 6 months of historical data, (2) 15,200 job orders, (3) 12 machines with different capacities, (4) Fields: job_id, processing_time, due_date,

machine id, setup time, priority, downtime events.

The validation objectives are to minimize makespan (total completion time) and minimize total tardiness (lateness).

Validation methods: Algorithms compared: NSGA-II, AOF, MOEA/D, SPEA2. Evaluation metrics: Hypervolume, IGD, makespan, percentage of job lateness. Experiments: 30 replications for each algorithm.

2.2 System modeling

To obtain an optimal solution using the NSGA-II multiobjective algorithm, the flow shop production machine scheduling problem will be mathematically modeled in the form of a multi-objective equation consisting of several objective functions and constraints.

The multi-objective equation consists of two objective functions: one that defines the makespan value and one that defines the total tardiness.

Furthermore, it is necessary to define the desired solution variables, as NSGA-II optimization begins with random population initialization based on the definition of the solution variables.

2.3 NSGA-II stages

Multi-objective optimization: NSGA-II, which is used to find Pareto-optimal solutions from multi-objective mathematical models, can be divided into the following stages:
1) Population Initialization; 2) Non-Dominated Sort; 3) Crowding Distance; 4) Selection; 5) Genetic Operators: Crossover and Mutation; 6) Recombination.

In one of the several stages mentioned above, a more detailed procedure will be developed for applying NSGA-II to the problem of scheduling flow shop production machines. The general flow diagram of NSGA-II is shown in Figure 2.

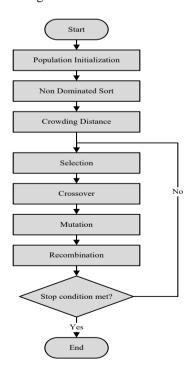


Figure 2. NSGA-II flowchart

A review of existing methods in the literature shows that production scheduling approaches are still dominated by two main categories: mathematical optimization methods and machine learning-based methods. Mathematical optimization approaches, such as Mixed Integer Linear Programming (MILP), are inherently capable of producing exactly optimal solutions. However, the main limitation of MILP is its high computational complexity when the problem is enlarged to include more realistic numbers of machines, products, or constraints. This makes MILP difficult to implement in large-scale industrial scenarios that require fast and adaptive solutions.

In this context, multi-objective approaches based on evolutionary algorithms, such as NSGA-II, offer significant advantages. NSGA-II does not rely on complex mathematical models but instead utilizes the principles of population selection and evolution to find Pareto solutions that balance multiple objectives (e.g., minimizing makespan and tardiness simultaneously). Its primary advantage is its ability to explore a broad solution space at a relatively lower computational cost than exact methods, and it generates a set of Pareto solutions that can be readily used by decision-makers. Compared to RL, NSGA-II also does not require a long-term training process, making it more practical to apply to real industrial cases.

2.4 Measurement and comparison of optimization using NSGA-II

The results of multi-objective optimization of NSGA-II will be measured and compared with the results of optimization with AOF, by calculating and comparing the results of the two objective functions, namely makespan and total tardiness using AOF optimization with NSGA-II.

To evaluate the quality of the solution population generated by NSGA-II, the hypervolume indicator will be used. The hypervolume indicator is defined as the volume of all possible solutions dominated by the set of solutions generated by EMO. The larger the hypervolume value, the better the solution from EMO. The Monte Carlo approach [31] is used to estimate the hypervolume by calculating a set of random values in the solution space dominated by solutions from EMO.

Because NSGA-II is a stochastic algorithm, statistical analysis of the research results is necessary to compare them with a certain level of confidence. Normality and homogeneity tests will be performed first to determine the next test to compare the average results. The normality tests used are the Kolmogorov-Smirnov test and the Shapiro-Wilk test, while the homogeneity test uses the Levene test.

Research results that are normally distributed with homogeneous variance will be resolved using the ANOVA test, while for those that are normally distributed with non-homogeneous variance, the Welch test will be used. Specifically for research results that are not normally distributed, the Kruskal-Wallis test will be performed.

The Kruskal-Wallis test does not require the assumption of normality, but only requires that the data be ordinal or interval scaled and come from independent samples. This test procedure is based on the ranking of the data (ranking) rather than its actual values, so it is more robust to non-normal data distributions and the presence of outliers. Thus, Kruskal-Wallis provides a more flexible approach to comparing the medians of several groups when the ANOVA assumptions are not met. Case examples: (1) Comparing the makespan of 3 scheduling algorithms on several batch jobs, but the distribution of makespan is skewed, (2) Comparing the average waiting time per machine across 4 shift configurations, the data is not normal.

3. RESULTS AND DISCUSSION

3.1 Mathematical model

The formulation of the makespan minimization problem with a mathematical model is carried out by Rego et al. [32] and Chen et al. [33] the following:

$$M(S) = C_{m,n} \tag{1}$$

$$C_{m,n} = \max \{C_{m-1,n}, C_{m,n-1}\} + t_{m,n}$$
 (2)

where,

M(S) = makespan (time to process all jobs to completion)

 $C_{m,n}$ = completion time of job m on machine n

 $t_{m,n}$ = processing time for job m on machine n

m = total number of jobs in the schedule

n = total number of machines in the schedule

In addition to formulating makespan, Huang et al. [34] formulated the problem of minimizing total tardiness with the following mathematical model:

$$T = \sum_{i=0}^{n} \max \left[0, C_i - d_i \right]$$
 (3)

T = total tardiness (the total time a job can be completed earlier than its due date)

C_i = the complete time for all machines to complete a process on job i

Therefore, Eqs. (1)-(3) can be modeled as a multi-objective problem with the following mathematical model:

$$\operatorname{Min} Z_{M} = \max \left\{ C_{m-1,n}, C_{m,n-1} \right\} + t_{m,n}$$

$$\operatorname{Min} Z_{T} = \sum_{i=0}^{n} \max \left[0, C_{i} - d_{i} \right]$$
(4)

where,

m = total number of jobs in the schedule

n = total number of machines in the schedule

 $t_{m,n}$ = processing time for the mth job on the nth machine

 C_i = complete time for all machines to complete a process on job i (i = 1, ..., m)

3.2 Implementation of NSGA-II stages

The NSGA-II multi-objective optimization used to find a solution to the mathematical model of Equation 4 is divided into several stages as follows:

(1) Population Initialization

The population is initialized randomly, but must still comply with the constraints of the mathematical model.

(2) Non-Dominated Sort

The initialized population is then sorted based on nondominance using the Fast Non-dominated Sorting algorithm defined by Deb as follows:

- (a) For each individual p in population P, perform the following steps:
- (i) Initialize $Sp = \emptyset$. This set will contain all individuals
 - (ii) Initialize $n_p = 0$. This indicates the number of individuals

that dominate individual p.

- (iii) For each individual q in P
- If p dominates q, add q to the set Sp. $(S_p = S_p \cup q)$
- ·If q dominates p, increment the dominance counter n_p .
- (iv) If $n_p = 0$, this means no individual dominates p, so p is in the first front; Assign p a rank of one $(p_{rank} = 1)$. Add p to the first front $(F_1 = F_1 \cup \{p\})$
 - (b) Initialize the front counter with one (i = 1)
- (c) As long as the i-th front is not empty $(F_i \neq \emptyset)$, do the following:
 - (i) $Q = \emptyset$
 - (ii) For each individual p in front F_i

For each individual q in Sp

 $n_q = n_q - 1$, decrement the dominance count for individual q

- (iii) If $n_q = 0$, then no individual in the next front dominates q, so $q_{\text{rank}} = i + 1$. Add q to $Q(Q=Q \cup q)$.
 - (iv) Increment the front counter by one (i=i+1)
 - (v) Q becomes the next front $(F_i = Q)$
- (3) Crowding Distance

Crowding distance is used as a comparison between two individuals in the same front, so that the resulting solution can represent the overall Pareto-optimal solution.

The method for calculating crowding distance is defined by Zheng et al. [35] and Zheng et al. [36] as follows:

- (a) For each individual in front F_i , where n is the number of individuals
- (b) Initialize the distance to zero for all individuals $(F_i(d_i)=0)$, where j represents the jth individual in front F_i
 - (c) For each objective function m
- (i) Sort the individuals in front F_i i based on the objective
- (ii) Assign infinite distances to the first and last individuals $(I(d_1)=\infty \text{ and } I(d_n)=\infty)$

(iii) For k ranging from 2 to
$$(n-1)$$

$$I(d_k) = I(d_k) + \frac{I(k+1).m - I(k-1).m}{\sum_{m}^{max} - \sum_{m}^{min} 1}$$

I(k).m is the objective value m for individual k in I

(4) Selection

The roulette wheel selection method used is Roulette Wheel Selection. In the roulette wheel method, the parent chromosomes to be crossed over are selected based on their

The fitness value of each chromosome is divided by the total fitness value of all chromosomes in the population. Each chromosome is considered a piece of the roulette wheel, with the size of the piece proportional to its fitness value. A target value between zero and one is randomly assigned. The roulette wheel is then spun N times, where N is the number of individuals or chromosomes in the population. In each spin, the chromosome with a fitness value below the target value is selected to become the parent for the next generation.

(5) Genetic Operators

The genetic operator used is Precedence Preservative Crossover (PPX) for crossover, and the mutation operators are removing and insert mutations. PPX can be explained as follows:

- (a) A new string is randomly constructed from the alleles of the parent strings.
 - (b) A random number 1 or 2 is used to select the parent.
- (c) f 1 is derived from the leftmost allele of the first parent, if 2 is derived from the leftmost allele of the second parent. The selected allele is then removed from both parents.
 - (d) The process continues until the characters in both

parents are exhausted.

For example, two parents ABCDEF and CABFDE, and the random number 121122, will produce the new string ACBDFE.

In remove and insert mutations, one locus is randomly selected and the character at that position is deleted. A new locus is selected, and the previously deleted character is inserted.

(6) Recombination

The crossover and mutation population is then combined with the parent population, which is then selected using Non-Dominated Sort and Crowding Distance to obtain the next generation population.

3.3 Research results

The data used in this study is taken from Eva Instances: http://www.upv.es/gio/rruiz. The data consists of jobs ranging from 50 to 350 and machines ranging from 10 to 50.

Several samples will be taken from each job and machine. The data will then be tabulated using the format shown in Table 1.

3.3.1 Optimizing production machine scheduling using AOF

The AOF method is a method for generating solutions that minimize makespan and total tardiness. In principle, this method applies single-objective optimization, such as the Genetic Algorithm, to multi-objective problems.

The two objective functions in Eq. (4) are combined into a new objective function using the addition operator (+), and each function is assigned a weight between 0 and 1, with the sum of the two weights necessarily equal to 1. The new objective function is the result of combining the two objective functions.

$$C_{m,n} = \max \{C_{m-l,n}, C_{m,n-l}\} + t_{m,n}$$
 (5)

Eq. (4) is: Min $Z = \alpha * (\max \{C_{i-1,j}, C_{i,j-1}\} + t_{i,j}) + (1-\alpha) * \sum_{i=0}^{n} \max[0, C_i - d_i]$ where α is a weight with a value between 0 and 1.

Table 1. Production machine scheduling data table

Job/Machine	M_1	M_2	M ₃	M ₄	••••	Mn	Due- Date
J_1	30	2	6	72		90	7
J_2	20	30	80	70		80	8
••••							
J_n	15	10	1	68		100	50

Table 2. Production machine scheduling data table

Algorithm	Hypervolume ↑	IGD ↓	Average Makespan (hours) ↓	% Job Terlambat↓
NSGA-II	0.72 ± 0.03	0.018	12.4	9.8%
AOF	0.68 ± 0.04	0.021	13.1	11.5%
MOEA/D	0.66 ± 0.05	0.024	13.3	12.1%
SPEA2	0.64 ± 0.04	0.025	13.7	12.8%

Table 3. AOF research results instance I_150_30

	Parameter	Research Results						
α	rarameter	1	2	3	4	5		
	ZM	11327	11153	11192	11200	11162		
0,1	ZT	133433	119589	123218	122785	129088		
	Number of Generations	178	255	140	251	209		
	ZM	11049	11332	11333	11184	11258		
0,5	ZT	130392	134616	138815	130638	130654		
	Number of Generations	294	176	157	324	226		
	ZM	11046	11345	11228	11464	10994		
0,9	ZT	121534	137081	139031	154819	129969		
	Number of Generations	188	158	138	173	220		

Table 4. AOF research results instance I 250 50

	Parameter		Res	earch Res	ults	
α	rarameter	1	2	3	4	5
	ZM	19196	19731	19422	19689	19459
0,1	ZT	453440	479169	522631	534560	482118
	Number of Generations	500	268	256	125	374
	ZM	19750	19459	19380	19584	19615
0,5	ZT	508587	505159	471894	497512	539113
	Number of Generations	169	165	270	294	180
	ZM	19773	19599	19442	20015	19288
0,9	ZT	503433	482715	499647	500287	468795
	Number of Generations	124	324	154	131	451

To optimize Eq. (4), a Genetic Algorithm is used, with the

determination of the weight α carried out through research

with variations of $\alpha = 0.1$, 0.5 and 0.9, the data for which is tabulated in Tables 2 to 4.

AOF weights can be dynamically adjusted. This approach leverages the distribution of solutions on the Pareto front, allowing the weights to reflect the trade-off balance between objectives that naturally emerges from the evolutionary algorithm. Furthermore, weights can be determined based on the decision maker's explicit preferences, for example through the integration of an interactive decision support system (DSS). This mechanism ensures that the selected solution is not only computationally optimal but also more closely aligned with practical needs and industry strategy. The dynamic weight approach also offers significant advantages. By adjusting weights based on the distribution of the Pareto front, the method is able to represent the trade-off between objectives in a more natural and adaptive manner.

To analyze the results of the AOF study above, normality and homogeneity tests were first conducted to determine the appropriate test for comparing the average results. The normality tests used were the Kolmogorov-Smirnov and Shapiro-Wilk tests, while the homogeneity test used Levene's test

Research results with a normal distribution and homogeneous variances were compared using the ANOVA test, while those with a normal distribution and non-homogeneous variances were compared using the Welch test. For non-normally distributed results, the Kruskal-Wallis test was used. The statistical tests above were calculated using SPSS software, with the analysis results tabulated in the table below

The results of the normality test in Table 5 with the Kolmogorov-Smirnov and Shapiro-Wilk tests show that the results of the AOF research for ZM, ZT and Generation at α = 0.1, 0.5 and 0.9 are normally distributed with a significance level of 0.05.

The results of the homogeneity test in Table 5 using Levene's test indicate that the AOF research results for ZM, ZT, and Generation at $\alpha = 0.1$, 0.5, and 0.9 have homogeneous variances with a significance level of 0.05 (the Sig. column in Table 6 is greater than 0.05).

Table 5. Results of AOF normality test

	I	A leals a	Kolmogo	rov-S	mirnov	Shapi	ro-W	ilk
	Instance	Alpha	Statistic	df	Sig.	Statistic	df	Sig.
		0,10	0,339	5	0,062	0,794	5	0,073
	ZM	0,50	0,201	5	0,200	0,889	5	0,353
		0,90	0,204	5	0,200	0,945	5	0,702
		0,10	0,268	5	0,200	0,933	5	0,616
I_150_30	ZT	0,50	0,340	5	0,060	0,792	5	0,070
		0,90	0,218	5	0,200	0,970	5	0,878
		0,10	0,218	5	0,200	0,924	5	0,556
	Number of Generations	0,50	0,194	5	0,200	0,924	5	0,558
		0,90	0,142	5	0,200	0,989	5	0,975
		0,10	0,208	5	0,200	0,932	5	0,611
	ZM	0,50	0,173	5	0,200	0,977	5	0,920
		0,90	0,139	5	0,200	0,986	5	0,962
	ZT	0,10	0,243	5	0,200	0,928	5	0,582
I_250_50		0,50	0,232	5	0,200	0,963	5	0,831
		0,90	0,321	5	0,102	0,847	5	0,186
		0,10	0,203	5	0,200	0,977	5	0,917
	Number of Generations	0,50	0,319	5	0,107	0,803	5	0,085
		0,90	0,316	5	0,115	0,827	5	0,131
		0,10	0,244	5	0,200	0,956	5	0,780
	ZM	0,50	0,176	5	0,200	0,972	5	0,886
		0,90	0,203	5	0,200	0,890	5	0,356
		0,10	0,315	5	0,118	0,777	5	0,052
I 350 30	ZT	0,50	0,300	5	0,159	0,893	5	0,374
		0,90	0,215	5	0,200	0,969	5	0,868
		0,10	0,268	5	0,200	0,919	5	0,525
	Number of Generations	0,50	0,307	5	0,139	0,840	5	0,166
		0,90	0,260	5	0,200	0,919	5	0,524

Table 6. AOF research results instance I 350 50

	Danamatan	Research Results						
α	Parameter	1	2	3	4	5		
	ZM	25243	25284	25435	25451	25518		
0,1	ZT	2193090	2274710	2232403	2329579	2286649		
	Number of Generations	263	300	262	219	378		
	ZM	25432	25596	25407	25537	25415		
0,5	ZT	2311256	2254846	2260478	2355096	2236693		
	Number of Generations	289	282	237	103	244		
	ZM	25288	25654	25179	25422	25193		
0,9	ZT	2241915	2208694	2150877	2309797	2262883		
	Number of Generations	237	161	318	114	195		

Based on the results of the two tests above, the AOF experimental results are normally distributed with homogeneous variances. Therefore, the ANOVA test was used to compare the average values of ZM, ZT, and Generation at $\alpha = 0.1, 0.5$, and 0.9 with the test results tabulated in the Table 7

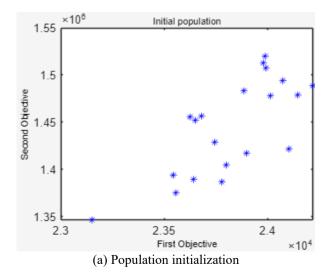
The ANOVA test results show that the average values of ZM, ZT, and Generations do not differ significantly for α =0.1, 0.5, and 0.9 at a significance level of 0.05 (the Sig. column in Table 7 is greater than 0.05). This means that changes in α do not significantly affect changes in the average values of the first objective function (ZM), the second objective function (ZT), or the number of generations. The Genetic Algorithm for AOF optimization applies the same PPX and Remove and Insert operators as the Genetic Operators for the NSGA-II stage with parameters tabulated in Table 8.

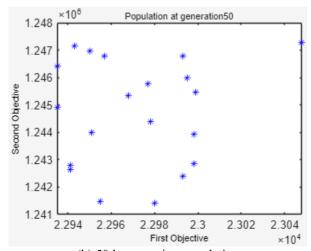
Table 7. Results of AOF homogeneity test

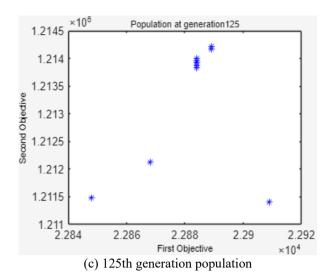
In	stance	Levene Statistic	df1	df2	Sig.
	ZM	3,126	2	12	0,081
I 150 20	ZT	1,865	2	12	0,197
I_150_30	Number of Generations	2,694	2	12	0,108
	ZM	1,084	2	12	0,369
1 250 50	ZT	1,948	2	12	0,185
I_250_50	Number of Generations	2,130	2	12	0,162
	ZM	0,225	2	12	0,801
I_350_30	ZT	0,790	2	12	0,476
	Number of Generations	20,484	2	12	0,125

Table 8. Results of ANOVA AOF test

	Instance	2	Sum of Squares	df	Mean Square	F	Sig.
		Between Groups	1531,600	2	765,800	0,039	0,961
	ZM	Within Groups	232752,800	12	19396,067		
		Total	234284,400	14			
		Between Groups	307991120,400	2	153995560,200	2,350	0,138
I 150 30	ZT	Within Groups	786520738,000	12	65543394,833		
		Total	1094511858,400	14			
Number of Generations	Between Groups	9004,800	2	4502,400	1,567	0,248	
	Within Groups	34475,600	12	2872,967			
	Total	43480,400	14				
		Between Groups	38488,133	2	19244,067	0,389	0,686
ZM	Within Groups	593259,600	12	49438,300			
		Total	631747,733	14			
		Between Groups	491090575,600	2	245545287,800	0,384	0,689
I 250 50	ZT	Within Groups 7674834746,400 12		639569562,200			
		Total	8165925322,000	14			
	Number of	Between Groups	21612,133	2	10806,067	0,727	0,503
	Generations	Within Groups	178319,200	12	14859,933		
	Generations	Total	199931,333	14			
		Between Groups	29147,733	2	14573,867	0,414	0,670
	ZM	Within Groups	422279,600	12	35189,967		
		Total	451427,333	14			
		Between Groups	407911697,200	2	203955848,600	0,125	0,884
I 350 30	ZT	Within Groups	19575430524,400	12	1631285877,033		
		Total	19983342221,600	14			
	Number of	Between Groups	8880,933	2	4440,467	0,257	0,777
	Generations	Within Groups	207110,000	12	17259,167		
	Generations	Total	215990,933	14			







1.1864 **10⁶ Population at generation250

1.1862 **

1.1869 1.1858 **

1.1854 **

1.1852 2.282 2.2825 2.283 2.2835 2.284

First Objective *10⁴

Figure 3. Population in genetic algorithm for makespan and total tardiness

(d) 250th generation population

To show the population changes related to the increase in generations, Figure 3 displays the Genetic Algorithm population for production machine scheduling at the time of population initialization, the 50th, 125th, 250th and 294th generations. It turns out that the value of the 1st objective function (ZM) and the value of the 2nd objective function (ZT) are always better than the previous generation.

To strengthen the validity of the results, clarification of the parameter tuning method is needed. One commonly used approach is grid search, where parameter combinations are systematically tested to identify the best configuration based on performance indicators (e.g., hypervolume or spread). By trying several parameter combinations (e.g., PopSize = $\{50, 100, 200\}$, Crossover = $\{0.8, 0.9, 1.0\}$, Mutation = $\{0.05, 0.1, 0.2\}$. These parameter combinations are presented in Table 10.

Another, more adaptive alternative is automatic parameter tuning, such as adaptive parameter control or self-adaptive evolutionary strategies, which dynamically adjust parameter values during the evolution process. Implementing these tuning methods makes experimental results more credible, replicable, and relevant for real-world applications in industrial environments.

3.3.2 Optimizing production machine scheduling using NSGA-II

To efficiently obtain the best set of Pareto-optimal

solutions, experiments are needed to determine the smallest number of generations required to obtain them.

Applying Pareto solutions in the context of production scheduling presents its own challenges, as optimization results generated by multi-objective algorithms are often only displayed in tables or static graphs.

Therefore, integrating Pareto solutions into a Decision Support System (DSS) or interactive tool is a strategic step to ensure that the resulting solutions are not only theoretical but also operational. Future research will attempt to utilize an Integrated DSS.

Through a DSS, Pareto solutions, which represent trade-offs between objectives (e.g., minimizing makespan, total delay, and increasing machine utilization), can be visualized in interactive graphs such as scatter plots or parallel coordinate plots. With this display, decision-makers can understand the distribution patterns of solutions and select the alternative that best aligns with company priorities.

The quality of the Pareto-optimal solution sets from different generations is compared based on the average estimate of the hypervolume indicator.

The hypervolume indicator is estimated using a Monte Carlo approach [37-39], which normalizes all objective function values between 0 and 1, then generates a random set of objective function values and tests each random objective function value to see whether it is dominated by one of the Pareto-optimal solutions.

The research for hypervolume estimation was conducted three times for each instance in the same generation, the results of which are tabulated in Table 9.

Table 9. Grid search parameter NSGA-II

Population Size	Crossover Rate	Mutation Rate	IGD (↓)	HV (↑)	Time (s)
50	0.8	0.05	0.032	0.68	25
100	0.9	0.10	0.027	0.72	48
200	0.9	0.20	0.024	0.74	95

Table 10. Genetic algorithm parameters for AOF

No.	Parameter Name	Value
1	Population Size	20
2	Weight (α)	0,1
3	Probability of Crossover (Pc)	0,9
4	Probability of Mutation (Pm)	0,1

Table 11. Results of NSGA-II hypervolume estimation research

Instance	Hypervolume Estimation in Research							
	1	2	3	4	5			
I_350_30	0,6 24 54	0,533 67	0,864 26	0,767 01	0,7 647 7	0,7108500		
I_250_50	0,7 46 93	0,560 53	0,620 99	0,435 87	0,7 932 3	0,6315100		
I_150_30	0,4 42 04	0,549 95	0,692 28	0,785 6	0,6 252 7	0,6190280		

The normality test using SPSS on the data in Table 11 is tabulated in Table 12. The normality test assesses the normality of data distribution. This test is the most commonly

used for parametric statistical analysis. Normally distributed data is a prerequisite for parametric tests. For data that does not have a normal distribution, non-parametric tests are used for analysis.

To show population changes related to increasing generations, Figure 4 displays the NSGA-II population at the time of population initialization, the 100th, 250th, 500th, 750th and 1000th generations.

Figure 4 shows that the 20 solutions in the population are increasingly evenly distributed, approaching the Pareto-

optimal solution as the number of generations increases. As generations increase, the values of the first and second objective functions decrease simultaneously. It can be seen that of the 20 resulting solutions, not all are Pareto-optimal. In this example, only 12 Pareto-optimal solutions are on front 1 (symbolized by a blue '*'), while the other 8 solutions are on front 2 (symbolized by a red '+').

The population obtained in the 1000th generation of NSGA-II is tabulated in Table 12.

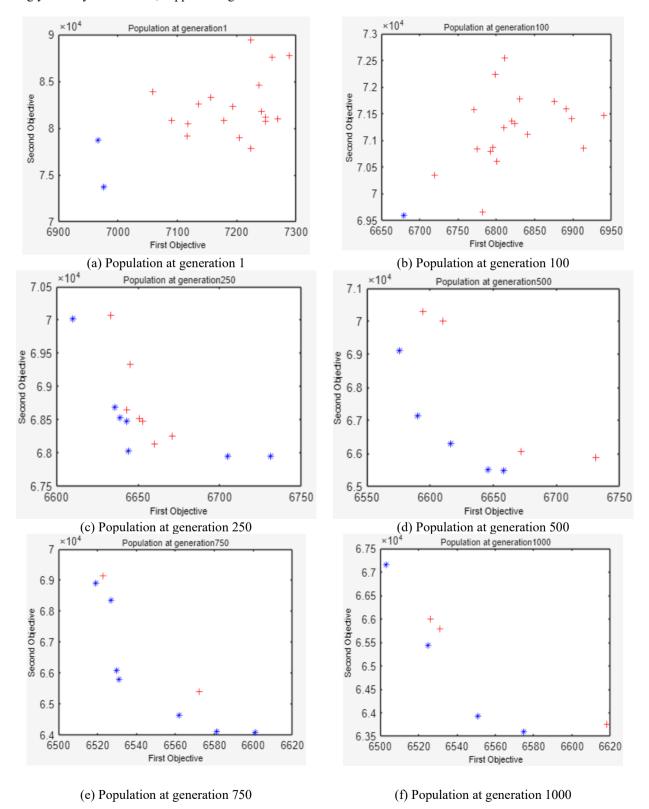


Figure 4. Population in NSGA-II for makespan and total tardiness

Table 12. Normality test results of NSGA-II hypervolume estimation

Tuestan	Instances -		Kolmogorov-Smirnov			Shapiro-Wilk		
instan			df	Sig.	Statistic	df	Sig.	
	I_150_30	0,119	5	0,200	0,997	5	0,997	
	I 250 50	0,189	5	0,200	0,963	5	0,827	
Hypervolume	I_350_30	0,260	5	0,200	0,944	5	0,691	
	I 350 50	0,315	5	0,117	0,809	5	0,096	
	I 50 50	0,237	5	0,200	0,910	5	0,470	

Table 13. NSGA-II parameters

No.	Parameter Name	Value
1	Population Number	20
2	Generation	1000
3	Probability of Crossover (Pc)	0,9
4	Probability of Mutation (P _m)	0,1

Table 13 is a table of NSGA II parameters, which consists of: Population size = 20. Advantages, fast computation per generation. Generations = 1000. Many generations good for allowing convergence. Pc = 0.9 common and reasonable value. Emphasizes the exploitation of gene combinations. Pm = 0.1 somewhat high for some representations (mutation is typically 0.001-0.1 depending on the representation).

Table 14. NSGA-II population at the 1000th generation

Solution	Z _M	\mathbf{Z}_{T}	Front	Crowding Distance				
to-								
1	25101	2305256	1	0,321962797				
2	25075	2313357	1	0,281232594				
3	25078	2308285	1	0,067711391				
4	25076	2312753	1	0,263258517				
5	25058	2315153	1	0,900309604				
6	25078	2308285	1	0				
7	25077	2308887	1	0,263148123				
8	25079	2307208	1	0,357273681				
9	25137	2302717	1	65535				
10	25078	2308285	1	0,041492922				
11	25016	2320834	1	65535				
12	25101	2305256	1	0,405264769				
13	25021	2334534	2	65535				
14	25158	2304022	2	65535				
15	25087	2308031	2	0,726049629				
16	25077	2308971	2	0,613319063				
17	25078	2308358	2	0,018016365				
18	25078	2308358	2	0				
19	25059	2322840	2	1,246560645				
20	25078	2308358	2	0				

Table 14 is the result of the NSGA-II population at the 1000th generation.

3.4 Comparison of AOF and NSGA-II

Solutions to multi-objective problems can be compared using dominance.

The i-th solution is declared better than the j-th solution if it dominates the j-th solution. The i-th solution dominates the j-th solution if all the objective function values of the i-th solution are no worse than the j-th solution, and at least one objective function value of the i-th solution is better than the objective function of the j-th solution.

Table 15 shows that a dominance comparison is performed between the AOF Genetic Algorithm solution for $\alpha=0.1$ and the NSGA-II solution. The symbol 'M' is used to indicate

dominance, 'D' =100 to indicate dominance, and '-' to indicate non-dominance of the solutions.

Table 15. Comparison of the dominance of the AOF solution with the NSGA-II solution for instance I 150 30

Solution	NSGA-II									
	1	2	3	4	5	6	7	8	9	10
AOF1	-	-	-	-	-	-	-	-	-	-
AOF2	-	-	-	-	-	-	-	-	-	-
AOF3	-	-	-	-	-	-	-	-	-	-
AOF4	-	-	-	-	-	-	-	-	-	-
AOF5	-	-	-	-	-	-	-	-	-	-

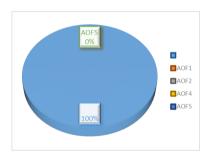


Figure 5. Comparison of the dominance of the AOF solution with the NSGA-II solution for instance I 150 30

Figure 5 is a 2-dimensional image of the shape of comparison of the dominance of the AOF solution with the NSGA-II solution for instance I 150 30.

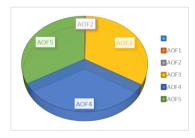


Figure 6. Comparison of the dominance of the AOF solution with the NSGA-II solution for instance I 250 50

Figure 6 is a 2-dimensional image of the shape of comparison of the dominance of the AOF solution with the NSGA-II solution for instance I_250_50.

Figure 7 is a 2-dimensional image of the shape of comparison of the dominance of the AOF solution with the NSGA-II solution for instance I 350 30.

The comparison of the dominance of AOF solutions with NSGA-II solutions in Tables 16 and 17 shows that there are always AOF solutions dominated by NSGA-II solutions, while no AOF solutions dominate NSGA-II solutions. This indicates that the Pareto-optimal NSGA-II solution successfully

provides a better solution than the AOF solution.

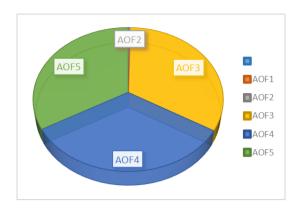


Figure 7. Comparison of the dominance of the AOF solution with the NSGA-II solution for instance I 350 30

Table 16. Comparison of the dominance of the AOF solution with the NSGA-II solution for instance I 250 50

Cal-4'a-					NSC	JA-II	[
Solution	1	2	3	4	5	6	7	8	9	10
AOF1	-	-	-	-	-	-	-	-	-	-
AOF2	-	-	D	-	D	-	-	-	D	D
AOF3	D	D	D	D	D	D	D	D	D	D
AOF4	D	D	D	D	D	D	D	D	D	D
AOF5	D	D	D	D	D	D	D	D	D	D

Table 17. Comparison of the dominance of the AOF solution with the NSGA-II solution for instance I 350 30

Solution					NSC	JA-II	I			
Solution	1	2	3	4	5	6	7	8	9	10
AOF1	D	D	D	D	D	D	D	D	D	D
AOF2	-	-	-	-	-	-	-	-	-	-
AOF3	D	D	D	-	D	D	D	D	-	-
AOF4	D	D	D	D	D	D	D	D	D	D
AOF5	D	D	D	D	D	D	D	D	D	D

Based on the comparison above, it is clear that the population of Pareto-optimal NSGA-II solutions is superior, successfully dominating 100% of the AOF system solutions. Similarly, the comparison of NSGA-II solutions with AOF solutions shows that the population of Pareto-optimal NSGA-II solutions is superior, successfully dominating 100% of the AOF solutions.

The population of Pareto-optimal solutions can be used to provide managers with several alternative solutions. Pareto-optimal solutions are optimal multi-objective optimization solutions with trade-offs across all objectives, so all Pareto-optimal solutions are equally good. Therefore, managers still need to make informed decisions about which solution to implement in the company.

4. CONCLUSIONS

From the results of the research and discussion that has been done, it can be concluded that in NSGA-II, 20 solutions in the population are increasingly evenly distributed approaching the Pareto-optimal solution with increasing number of generations, and along with increasing generations, the makespan and total tardiness values will be increasingly minimal together. The application of NSGA-II operators,

namely Nondominated Sorted, Crowding Distance, PPX (Precedence Preservative Crossover) and remove and insert mutations successfully obtained a solution to the mathematical model of production machine scheduling. The results of the analysis of the Pareto-optimal solution produced by NSGA-II show that the Pareto-optimal solution is better by dominating 100% of the solution from the system produced by the Genetic Algorithm with Aggregate of Function (AOF).

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