

Chinese Alt Text Writing Based on Deep Learning

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https://doi.org/10.18280/ts.360206	ABSTRACT
Received: 25 January 2019 Accepted: 3 April 2019	To generate coherent and readable Chinese image caption, this paper designs an Chinese image captioning model based on Inception-ResNet-v2, a deep convolutional neural network
Keywords: Chinese image captioning, deep convolutional neural network (DCNN), feature extraction, gated recurrent unit (GRU) network	(DCNN) based on residual blocks, and the double-layer gated recurrent unit (GRU) network. The proposed model extracts the features from the original image with the Inception-ResNet- v2. To overcome the stochasticity of random text encoding, the neural network modelling was performed to create word embedding features for sparse word codes. Next, the extracted deeply convoluted image features were mapped to the word embedding feature space. Finally, the double-layer GRU network was trained with the image features and word embedding features, yielding the Chinese image captioning model. The proposed model was proved through experiment as capable of generating Chinese text for images. In addition, our model performed excellently in the objective evaluation with indices like Perplexity, BLEU and ROUGE-L. Specifically, the Perplexity score of our model was 4.922, the BLEU-1, BLEU-2, BLEU-3 and BLEU-4 results were 0.674, 0.533, 0.416 and 0.330, respectively, and the ROUGE-L was 0.635. All of these were better than the results of the other models like the natural image captioning (NIC) model.

1. INTRODUCTION

The concept of alt text was first proposed by Farhadi et al. [2], with the aim to facilitate the grasp of image content despite the complexity of visual scenes. The alt text can greatly facilitate the organization of image data, as well as the mining of large amounts of data through information retrieval. The writing techniques of English alt text are relatively mature, such as the depth semantic alignment model [3], the guiding the long-short term memory model (gLSTM) [4] and the natural image captioning (NIC) model [5]. By contrast, the research on Chinese alt text is far less advanced, due to the difficulty in encoding Chinese sentences. After all, a Chinese sentence is much more ambiguous in semantics, and harder to segment into words than an English one. The writing of an effective Chinese alt text requires the integration between computer vision and natural language processing. The alt text should include a tag about the image category, and a highlyreadable sentence that sums up the image content [1].

Taking the 2017 AI Challenger Competition image caption dataset as the training data, this paper designs a novel Chinese alt text writing model based on the encoding and decoding ideas of the NIC model. The model encodes and decodes RGB images and generates Chinese sentences, using the deep convolutional neural network (DCNN) and double-layer gated recurrent unit (GRU) network. To overcome the sparsity of word codes, the sparse word vectors were modelled and the word embedding features were extracted by the neural network language model (NNLM), thereby reducing the dimensionality without sacrificing the semantic relations among sentences.

2. BASIC PRINCIPLE AND IMPLEMENTATION METHOD

2.1 Extraction of image features

The performance of an alt text writing model can be determined by the expressiveness of the extracted image features. With the emergence of AlexNet [6], the DCNN has attracted much attention for its excellence in image feature extraction and image classification [7]. In this paper, the DCNN model is selected to extract image feature descriptors, considering its advantages over the traditional manual feature extraction: (1) The kernel parameters of the DCNN are self-learned, eliminating human interference; (2) With many convolution kernels and multiple layers, the DCNN can learn a huge number of features obtained through integration will be more expressive and richer in semantic information.

Nevertheless, the numerous network layers may cause problems like vanishing gradient and exploding gradient. In this case, the DCNN converges slowly and even does not converge during training. The vanishing gradient problem can be solved simply by regularizing the initial terms, but this solution will lead to network degradation. The ResNet [8] offers a better solution called long skip connections, which activates the network from a certain layer, and provides immediate feedbacks to the deeper layers. The basic units of the solution are residual blocks. As shown in Figure 1, a typical residual block involves the summation of x with the residual function F(x) on two weight layers beyond the original network, followed by the nonlinear activation by ReLU function. The design of the residual block is equivalent to keeping the derivative of the block above one in gradient backpropagation, thus eliminating vanishing gradient.



Figure 1. Structure of a typical residual block

Google's Inception-ResNet-v2 network [9] was selected to extract image features. The core component of the network is the Inception Architecture, which acquires different local sensory fields with 1*1, 3*3 and 5*5 kernels, and extracts and fuses features on multiple scales. In this paper, the Inception Architecture is combined with residual block into the Inception-ResNet-X module. The introduction of residual block prevents the degradation of network performance caused by multiple network layers (i.e. the deep depth of the network). As shown in Figure 2, the final network model was created by integrating 20 similar modules of the Inception-ResNet-v2 network. Two submodules of Inception-ResNet-v2 network are described in Figures 3 and 4, respectively.



Figure 2. Structure of Inception-ResNet-v2 network



Figure 3. Structure of Inception-ResNet-A module



Figure 4. Reduction-A module

With the aid of the Inception-ResNet-v2 network, the image features were extracted in the following steps:

Step 1: The Inception-ResNet-v2 network was pre-trained with ImageNet dataset, and the pre-trained weight biases were saved for further use.

Step 2: The images of AICC training set were imported into the Inception-ResNet-v2 network for feature extraction, each imported image was normalized into the size of 229*229*3, the pre-trained weight biases were loaded into the network, and the softmax classification layer was removed.

Step 3: Based on the pre-trained weight biases, the Inception-ResNet-v2 network performed a series of convolution and pooling operations on the images, and finally the global average pooling layer output the 1,792-dimensional feature vector of each image.

Step 4: The image feature vectors and word feature vectors must be consistent in dimensions. Otherwise, the sentence generation model cannot be trained normally. Hence, the image feature mapping vectors were obtained by mapping the 1,792-dimensional feature vectors into the 512-dimensional word vector feature space through fully-connected operations. The mapping formula can be expressed as:

$$y = W^{T}(DCNN(I)) + b$$
⁽¹⁾

where y is a 512-dimensional feature vector obtained by fullyconnected calculation; W is a 1,792*512-dimensional matrix; I is an image imported to the network; DCNN(I) is a 1,792dimensional feature vector extracted by the network; b is a weight bias.

2.1 NNLM

The NNLM [10] is a language model constructed on the neural network. The model can represent word vectors through network optimization, and describe the word embedding with word distribution. The word embedding matrix offers an alternative to the sparse vector representation. In the NNLM, the n-gram construction is in the charge of the neural network. Figure 5 illustrates the structure of the NNLM.

The NNLM relies on its four-layer structure to predict the *m*-th word based on the first *m*-1 known words. The four layers are respectively the input layer, the embedding layer, the hidden layer and the output layer. The input layer receives the sparse word vectors; the embedding layer carries out word embedding of the input vectors and splices the word embedding vectors; the hidden layer executes the computing

task; the output layer performs probability distribution of each words using the softmax classifier, and outputs a probability vector whose dimensionality equals the size of the dictionary.



Figure 5. The structure of the NNLM

2.2 Word encoding

Word encoding aims to generate a word embedding vector for each word in word frequency dictionary. The word embedding vectors have many advantages over one-hot vectors. With a relatively small dimensionality, the word embedding vectors overcome the sparsity problem of one-hot vectors. Since synonyms in word embedding matrix have similar distributions, the word vectors in word embedding matrix can express semantics, enhancing the relevance of sentences. Considering these advantages, our model relies on the NNLM to simulate one-hot vectors and replace them with word embedding vectors. The replacement reduces the training cost and improves the readability of the output sentences. The word encoding was implemented in the following steps:

Step 1: The set of Chinese alt texts was processed by the Chinese word segmentation tool Jieba [11]. This set contains many low-frequency words, which should be filtered out. Otherwise, these words will dampen the convergence of the model, rather than promote the training effect.

Step 2: The words with frequency greater than 4 were selected and compiled into the word frequency dictionary, which serves as the index of word vectors.

Step 3: The \leq START> and \leq END> were defined to identify the start and end of each sentence. The two identifiers occupy one index bit. Through the above processing, the word frequency dictionary developed from the set of Chinese alt texts contains a total of 8,560 words.

Step 4: The words in the dictionary were subjected to onehot encoding. The dimensionality of each one-hot vector equals the size of the dictionary. In each word vector, the bit number of 1 represents the index value of the word in the dictionary. Taking the word "person" for instance, the word vector should be encoded as $[0\ 0\ 0\ 1\ 0...0\ 0]1*8560$, because the index value is 3 in the dictionary.

Step 5: A word embedding matrix C8560*512 was randomly initialized. Based on trigram, a special case of the ngram, three-word vectors w_{1*8560} were mapped through the matrix $C_{8560*512}$ into the projection vectors $[w^*C]_{1*512}$. The three projection vectors were spliced into the output $[w^*C]_{1^*1536}$ of the embedding layer. This embedding vector was sent to the hidden layer for nonlinear activation, and then transmitted to the output layer.

Step 6: In the NNLM, the number of output layer neurons equal the size of the word frequency dictionary. The nonlinearly activated embedding vector underwent probabilistic interpretation in the output layer by the softmax function. At the end of training, an 8,560-dimensional vector was outputted by the network. Each number in the vector represents the predicted probability of each word in the dictionary. Meanwhile, the parameters of the network model were updated, and the weight biases of the embedding layer were taken as the word embedding matrix.

Step 7: Word embedding and the NNLM were trained jointly. With the training of language model, the word embedding parameters were constantly updated until the model converged. Then, the trained word embedding matrix was obtained. During sentence generation, each one-hot vector searched for its corresponding word embedding vector in the word embedding matrix based on the index of word vectors. The word embedding vectors thus obtained were taken as inputs of the NNLM.

2.3 GRU

As a variant of the long-short term memory model (LSTM), the GRU is a recurrent neural network capable of solving longterm dependency problems [12, 13]. Compared with the LSTM, the GRU has a simple network structure, relatively few network parameters, good network performance and fast data training.

Based on the LSTM structure, the GRU neural network merges the cell state and hidden state, as well as the forget gate and the input gate. There are only two gates in the GRU, namely, the reset gate and the update gate. Like the LSTM, the GRU uses the two gates to screen and retain information. The screening and retainment are performed using a threshold in 0~1 set by sigmoid function. The reset gate controls how much the previous state of the hidden layer is forgotten. The value of the reset gate is negatively correlated with the amount of state information being forgotten. Meanwhile, the update gate controls how much the previous state of the hidden layer is retained in the current state of that layer. The value of the update gate is positively correlated with the amount of state information being retained. With the above structure, the GRU avoids the vanishing gradient problem, which often arises in backward derivation during the training of recurrent neural network (RNN), and prevents the loss of long-term memory in backward propagation. The structure of the GRU model is described in Figure 6, where h_{t-1} and h_t are the previous and current states of the hidden layer, respectively.



Figure 6. Structure of the GRU model

The GRU network updates the hidden state through two gates. The specific steps are as follows:

Step 1: The reset gate r_t controls how much the previous state of the hidden layer is forgotten. The forgetting degree helps to capture the short-term dependencies in the sequence data. The value of r_t can be calculated by:

$$r_t = \sigma(W_r \cdot [h_{t-1}, x_t]) \tag{2}$$

where σ () is the sigmoid function; W_r is the weight bias of the reset gate layer; h_{t-1} is the previous state of the hidden layer; x_t is the current input.

Step 2: The update gate z_t controls how much the previous state of the hidden layer is retained in the current state of that layer, i.e. the degree of impact of the previous state of the hidden layer on the current state of that layer. This impact degree helps to capture the long-term dependencies in the sequence data. The value of z_t can be calculated by:

$$z_t = \sigma(W_z \cdot [h_{t-1}, x_t]) \tag{3}$$

where σ () is the sigmoid function; W_z is the weight bias of the update gate layer; h_{t-1} is the previous state of the hidden layer; x_t is the current input.

Step 3: The candidate state of the hidden layer refers to the state of the hidden layer to be retained at the current time. To determine the candidate state of the hidden layer, the previous state of the hidden layer is filtered at the reset gate through point multiplication between the value of the reset gate and the previous state of the hidden layer. The closeness of the reset gate value to zero describes how much the previous state is forgotten. In essence, the candidate state of the hidden layer is determined by multiplying the previous state of the hidden layer *h*_{t-1} and the current input with the weight bias, and then compressed into (-1, 1) with the tanh function. The candidate state of the hidden layer can be expressed as:

$$\widetilde{h}_t = \tanh \left(W \cdot \left[r_t * h_{t-1}, x_t \right] \right)$$
(4)

where W is the candidate weight bias; r_t is the reset gate; h_{t-1} is the previous state of the hidden layer; x_t is the current input.

Step 4: The current state of the hidden layer h_t is the real output of the GRU network at the current time. To determine the current state of the hidden layer, the previous state of the hidden layer and the candidate state of the hidden layer are updated by the update gate. The closeness of the update gate value to one describes how much the previous state is retained. If the update gate equals one, then the previous state of the hidden layer will not attenuate over time and be retained fully to the current time. The current state of the hidden layer can be expressed as:

$$h_{t} = (1 - z_{t}) * h_{t-1} + z_{t} * \tilde{h}_{t}$$
(5)

2.4 Sentence generation model

In this paper, the sentence generation model is created based on the double-layer GRU network, and used to predict words. The double-layer GRU network (Figure 7) was selected, for the model with more layers can learn deeper text features and acquire stronger fitting ability, making the sentences more accurate.



Figure 7. Structure of double-layer GRU network

Layer 1 integrates image features with word embedding features, and inputs the results into Layer 2. According to the results from Layer 1, Layer 2 predicts and generates words by feature inference and decoding. The information flow in the model can be described as follows.

At t=0, Layer 1 receives (1) the image features obtained through feature mapping and (2) the word embedding features obtained through secondary encoding of encoded sparse words. Meanwhile, Layer 1 produces (1) the hidden layer input of Layer 1 at t=1 and (2) the actual input of Layer 2 at t=0.

At t=0, Layer 2 receives (1) the hidden layer output of Layer 1 and (2) the initial hidden layer value of Layer 2. Meanwhile, Layer 2 produces (1) the actual output of Layer 2 at t=0, and (2) the hidden layer input of Layer 2 at t=1.

The sentence generation model was constructed through the following steps:

Step 1: The features of the original image were extracted by the DCNN, and subjected to feature mapping. The resulting 512-dimensional feature vector P was taken as the input of Layer 1 of the GRU network.

Step 2: The string <_START> was added to a tagged Chinese sentence containing *m* words to identify the start of the sentence, and its bit was denoted as W_0 (if the sentence has fewer than *m* words, the vacant positions should be filled up with zeros). The tagged sentence was subjected to word segmentation, and then converted into a list of index values of the words (e.g. [0, 1, 2, 5, 199, 0]). According to the index values in the list, the word embedding vector of each word in the sentence was looked for in the text feature mapping matrix $W_{512*8560}$. In this way, the word embedding vectors of all the words in the sentence was determined as $W_1, W_2, ..., W_m$ (The feature space of the word embedding vector has 512 dimensions).

Step 3: At time t=0, the image feature vector P_{1*512} was inputted to Layer 1 to produce the hidden layer states h_{01} and h_{02} . Among them, h_{01} was taken as the hidden layer input of Layer 1 at t=1, and h_{02} as the actual input of Layer 2 at t=0.

Step 4: At time t=1, the word embedding vector W_0 (start identifier) was taken as the input to the input layer of Layer 1 at *t*=1. The reset gate r_t obtained the reset threshold r_{11} by formula (2), while the update gate z_t obtained the update threshold z_{11} by formula (3). The r_{11} controlled the forgetting degree of h_{01} , and computed the candidate state of the hidden layer \tilde{h}_{11} according to W_0 and formula (4). The z_{11} controlled the hidden

Step 5: Taking the h_{11} as the actual input to the input layer of Layer 2 at t=1, the hidden layer state h12 of Layer 2 at t=1 was obtained through Steps 3 and 4, considering the hidden layer state h_{02} at t=0.

layer state h_{11} .

Step 6: Taking the h_{11} as the hidden layer input of Layer 1 at t=2, the hidden layer state h_{21} of Layer 1 at t=2 was obtained through Steps 3 and 4, considering the actual input W_1 to the input layer of Layer 1 at t=2.

Step 7: The above steps were repeated at each time step until the last time step t (t=m). The final output of Layer 2 was thus obtained as h_{t2} . In each time step, the hidden layer state h_{t2} of Layer 2 (the output of Layer 2 has 512 dimensions) was subjected to fully-connected calculation. The fully-connected layer has 8,560 neurons. In addition, any output from the fullyconnected layer must go through probabilistic interpretation on the softmax layer. The softmax function can be expressed as:

$$soft \max(x) = \frac{e^{x_i}}{\sum_{j}^{e^{x_j}}}$$
(6)

where x_i is the output of each neuron on the fully-connected layer; *j* is the total number of neurons on the fully-connected layer.

The softmax layer was added to ensure that the model outputs a probability vector, whose dimensionality is the size of the dictionary, at each time step. The vector reflects the probability that each of the 8,560 words is correct at the current moment. The probability vectors produced at all time steps were saved to construct the loss function.

Step 8: The tagged sentence was segmented into words, and converted to one-hot vectors, forming a sparse matrix Y of the size (m*n, 8,560). Only one bit in each row of the matrix is

valued one, which corresponds to the index value of the word in the dictionary. All the other bits in the row are zeros. The model output P of Step 7 is also a (m*n, 8,560) matrix, in which each row specifies the probability values of all 8,560 words in the dictionary.

Step 9: The loss function was constructed based on the sparse matrix and the model output. Considering the specific tasks of the sentence generation model, the cross-entropy loss function was selected:

$$L(y_i, p_i) = -\sum_{k=1}^{n_batch} \sum_{i=0}^{m*n} \sum_{j=0}^{w_count} y_j \log(p_j)$$
(7)

where *n_batch* is the batch number of the training dataset; *m* is the sentence length; *n* is the mini-batch, i.e. the number of tagged sentences in a batch of data; *w_count* is the size of the dictionary (8,560); y_j is the value at *j*-th bit of the word vector; p_j is the probability value at the *j*-th bit of the model output vector.

Cross-entropy reflects the distance between the actual output and the expected output. The smaller the cross-entropy, the closer the two probability distributions. In this paper, the cross-entropy loss function is optimized such that the probability to correctly predict a word is close to one. In other words, the optimization goal is to maximize the probability that the index value and the word occupy the same bit.

Step 10: During model training, the network parameters were updated by time-based back-propagation algorithm [14]. After the training, the original image was imported into the model to generate the alt text. The model firstly mapped the image features, and then took the image coding vector and the start identifier < START> as the input of the double-layer GRU network at *t*=1. In light of the trained weight biases, the GRU network generated an 8,560-dimensional predicted word vector, and saved the word with the highest probability as the input at *t*=2. In this way, the network predicted and outputted a word at each time step, until reaching the end identifier < END> or the preset length *m*. Finally, all generated words were combined into the Chinese alt text of the original image.

The structure of the sentence generation model is illustrated in Figure 8 below.



Figure 8. The structure of the sentence generation model

3. EXPERIMENT AND RESULTS ANALYSIS

3.1 Sample preparation and experimental environment

Our Chinese alt text writing model was designed on Ubuntu 17.10, written in Python, and constructed under TensorFlow 1.1.0. Once constructed, the model was trained and evaluated with the AICC Chinese alt text dataset. The training set was divided into a set of 210,000 images and a set of Chinese alt texts for these images. Each image has five alt texts. Figure 9 shows part of the training set.

To facilitate training, the data in the training set were converted into the TFRecord format that can be executed by TensorFlow. After conversion, each piece of data contains the number, binary code, storage path and name of the original image and a list of Chinese alt texts, which have undergone word segmentation.

In addition, the data in the AICC testing set were converted into the same format as those in the AICC training set, such that the model performance can be evaluated by the perplexity index. The AICC testing set consists of a set of 30,000 images and a set of 150,000 Chinese alt texts.



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(c) Incert of Images
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(b)The set of Chinese alt texts

Figure 9. Part of the training set

3.2 Model training

The model was trained through forward propagation and backpropagation.

(1) Forward propagation

The TFRecord file was loaded into the memory to parse the image information. Then, the image data were imported in batches to the Incepton-ResNet-v2 network for feature extraction. Meanwhile, the dictionary of words describing the images was created, and then the word embedding vector was looked for in the word embedding matrix based on the index value. On this basis, the image feature vector and word embedding vector were inputted to the double-layer GRU network. Taking the image feature I at t=0 as the initialization coefficient of the hidden layer state, the words in the dictionary were embedded into matrix $[W_1, W_2, ..., W_m]$ and imported into the network step by step (t=1, 2, ..., m). The network derived an 8,560-dimensional probability vector in each time step, until outputting the final feature vector at the *m*-th time step. The vector predicted in each time step was saved and spliced into an 8560**m*-dimensional matrix. The matrix was outputted as the prediction result, making the end of the forward propagation.

(2) Backpropagation

During backpropagation, the gradient descent algorithm is often employed to update the network parameters. In the traditional batch gradient descent algorithm, the parameters are updated with all training data in each iteration. If applied to train deep learning models, this algorithm often faces problems like slow training and memory overflow. To solve the problems, the entire training set is usually segmented into subsets called mini-batches, before applying the algorithm for big data training of deep learning networks. The mini-batchbased training algorithm is known as the mini-batch gradient descent algorithm. This algorithm updates network parameters in the following way:

$$\theta = \theta - \alpha \cdot \nabla J(\theta) \tag{8}$$

where θ is the parameter to be updated; α is the learning rate; J is a function about θ .

Coupled with learning rate attenuation strategy, the minibatch gradient descent algorithm can update the parameters of most models. However, the algorithm still suffers from extreme point oscillation and slow convergence. Hence, many optimization algorithms have been developed to speed up the optimize rate of the deep learning models, including adaptive gradient (Adagrad) [15], momentum gradient descent, root mean square prop (RMS prop), and Adam [16]. The Adam optimization algorithm was adopted to train our model. This universal algorithm combines the merits of momentum gradient descent and RMSprop. The calculation process of Adam algorithm can be explained as:

$$v_{dw} = \beta_1 v_{dw} + (1 - \beta_1) dw \tag{9}$$

where v_{dw} is the weighted moving average of gradient dw; $\beta_1 = 0.9$ is a hyper-parameter.

$$s_{dw} = \beta_2 s_{dw} + (1 - \beta_2) dw^2 \tag{10}$$

where s_{dw} is the weighted moving average of the gradient square dw^2 ; β_2 =0.999 is a hyper-parameter.

$$v_{dw}^{correct} = \frac{v_{dw}}{\left(1 - \beta_1^t\right)} \tag{11}$$

where $v_{dw}^{correct}$ is the bias correction of the first moment estimation v_{dw} ; *t* is the number of iterations.

$$s_{dw}^{correct} = \frac{s_{dw}}{\left(1 - \beta_2^t\right)} \tag{12}$$

where $s_{dw}^{correct}$ is the bias correction of the second moment estimation s_{dw} ; *t* is the number of iterations.

The weight bias w can be updated by:

$$w = w - \alpha \frac{v_{dw}^{correct}}{\sqrt{s_{dw}^{correct}} + \varepsilon}$$
(13)

where $\varepsilon = 10^{-8}$ is a hyper-parameter to prevent the parameter update from being affected by insufficient bias correction.

The chain derivation of loss function was carried out using the predicted word vector and the actual word vector, and the model parameters were updated by Adam algorithm. To prevent over-fitting, the dropout mechanism was adopted for the fully-connected layer, randomly killing half of all neurons. The maximum number of iterations was set to 13,000. The iteration was terminated after reaching the set number or model convergence. The training curves of our model and the NIC model are shown in Figures 10 and 11, respectively. In the two figures, the y-axis represents the loss, the x-axis represents the number of iterations, the solid line indicates the loss after fitting, and the dotted line indicates the actual loss.



Figure 10. The training curve of our model



Figure 11. The training curve of the NIC model

With the increase in the number of iterations, the loss of our model exhibited an obvious decline and the network parameters were updated. The iteration stopped after reaching the preset maximum number of iterations. It can be seen from Figures 10 and 11 that our model, using the dual-layer GRU network, converged faster than the NIC model, which adopts the LSTM network.

3.3 Objective evaluation indices

3.3.1 Perplexity

Perplexity is an index that measures the quality of the language model in natural language processing (NLP). Designed on the features of the language model, the index can be mathematically expressed as an exponent of cross-entropy:

$$PPL = e^{-\frac{1}{m}\sum_{i=1}^{m}\log p(x^{(i)})}$$
(14)

where *m* is the number of sentences in the testing set; $p(x^{(i)})$ is the probability of the *i*-th sentence. The greater the product of the probabilities $p(x^{(i)})$ of all sentences $x^{(i)}$ in the testing set, the better the model performs on the testing set. The value of $p(x^{(i)})$ can be obtained by:

$$p(x^{(i)}) = \sum_{j=1}^{n} y_j \log p_j$$
(15)

where *n* is the number of words in each sentence; y_j is the vector of the *j*-th tagged word; p_j is the predicted probability of the *j*-th word.

Perplexity demonstrates the ability of the language model to predict a sentence. The greater the probability of the sentence, the smaller the Perplexity, and the more accurate the predicted sentence.

3.3.2 Bilingual evaluation understudy (BLEU)

The BLEU metric evaluates the machine translation based on n-word matching (the generated sentence and the example sentence are identical in any five consecutive words). The central idea behind the BLEU is that "the closer a machine translation is to a professional human translation, the better it is". The evaluation criteria include fluency and grammatical correctness. The value of the BLEU index can be calculated by:

$$B = BP \cdot \exp\left(\sum_{i=1}^{N} w_n \log P_n\right)$$
(16)

$$BP = \begin{cases} 1 & c > r \\ e^{1 - r/c} & c \le r \end{cases}$$
(17)

$$P_n = \frac{\sum_i n_{\min}^i}{\sum_i count(w_i)}$$
(18)

where *BP* is the penalty factor; *c* is the length of the predicted sentence; *r* is the length of the correct sentence the closest to the length of the predicted sentence; *N* is the number of consecutive words that are identical between the correct sentence and the predicted sentence (the maximum value of *N* is usually set to 4); $w_n=1/N$; P_n is the mean identical rate of words between the correct sentence and the predicted sentence.

3.3.3 Recall-oriented understudy for gisting evaluation (ROUGE)-L

ROUGE-L is a metric based on the longest common subsequence (LCS):

$$ROUGE_L(c_i, S_i) = \frac{(1+\beta^2)R^l P^l}{R^l + \beta^2 P_l}$$
(19)

$$R_{l} = \max_{j} \frac{l(c_{i}, s_{ij})}{|s_{ij}|}$$
(20)

$$P_l = \max_j \frac{l(c_i, s_{ij})}{|c_i|}$$
(21)

where $\beta = R_l/P_l$; $|c_i|$ is the length of the predicted sentence; $|s_{ij}|$ is the length of the reference sentence in the set of alt texts.

3.4 Model testing and evaluation

3.4.1 Model testing

The set of images in the AICC testing set was adopted to test our Chinese alt text writing model and the NIC model. The alt texts generated by the two models are recorded in Figure 12 below.



(a)

I): There is a woman wearing a skirt performing on the stage. II): There are two people in costumes performing on the stage





II): There are three men in jerseys playing basketball in the court.



I): There are two people in sports clothes playing pingpong in the stadium.

II): There are two people in sports clothes playing volleyball in the stadium.



I): There is a man singing on the stage, holding the microphone in his right hand.

II): There is a woman singing on the stage, holding the microphone in her right hand.



I): There is a man wearing a hat working in the room. II): There is a man wearing a hat working in the kitchen.



I): There is a woman singing, holding the microphone in her right hand, before two people on the stage.

II): There is a woman singing on the stage, holding the microphone in her right hand.

Figure 12. The results of model testing

In Figure 12, the alt texts marked with I) were generated by our model, and those marked with II) were produced by the NIC model. As shown in Figure 12(a), our model outputted more accurate description of image content than the NIC model. From Figures $12(b)\sim(d)$, it is learned that our model could revise the mistakes in the alt texts. For example, there are "two" men fighting for possession in Figure 12(b), instead of "three". It can be seen from Figure 12(e) that our model showed better accuracy than the NIC model in the derivation and summary of image content. Figure 12(f) indicates our model outperformed the NIC model in deriving and summing up the scenes that are difficult to describe.

In summary, our model can make intelligent inference on image content, identify the scenes, subjects, subject actions, and subject-object relations in the image, and describe the image content with a complete and coherent Chinese alt text.

3.4.2 Model evaluation

Our model, the NIC and the v2-LSTM were rated by objective evaluation indices of Perplexity, BLEU and ROUGE-L. The v2-LSTM was created by replacing the Inception-v3 in the NIC model with the Inception-ResNet-v2

of our model, and used to verify the feature extraction effect of the Inception-ResNet-v2.

The Perplexity scores of the three models were rated after 13,000 iterations on the AICC testing set. The results are listed in Table 1.

Table 1. The Perplexity scores of the three models

Name of model	Perplexity score		
Our model	4.922		
v2-LSTM	5.026		
NIC	5.044		

The Perplexity score is negatively correlated with the quality of the language model. From Table 1, it can be seen that our model had a smaller Perplexity score than the other two models at the 13,000-th iterations on the AICC testing set. This means our model outperformed the contrastive models at the end of iterations.

Then, the BLEU index was adopted to evaluate the models based on simple sentences. The output sentences were compared with the tagged sentences of each test image, and then evaluated by the BLEU metric. The evaluation results are shown in Table 2 below.

Table 2. The BLEU values of the three models

Name of model	BLEU-1	BLEU-2	BLEU-3	BLEU-4
Our model	0.674	0.533	0.416	0.330
v2-LSTM	0.657	0.496	0.390	0.309
NIC	0.616	0.469	0.341	0.264

According to the results in Table 2, our model received higher BLEU-1, BLEU-2, BLEU-3 and BLEU-4 than the two contrastive models, leading the NIC by an average of 0.065 point. The comparison demonstrates the clear edge of our model over the NIC in describing the image content.

Finally, the three models were evaluated by the ROUGE-L index. The results are listed in Table 3 below.

Table 3. The ROUGE-L values of the three models

Name of model	ROUGE-L value		
Our model	0.635		
v2-LSTM	0.593		
NIC	0.555		

Through experimental analysis and model testing, it can be seen that our model could identify the image content and describe it accurately with Chinese alt text. The results of objective evaluation manifest a clear advantage of our model over the other models. It is safe to say that our model can describe image content in Chinese more satisfactorily than NIC and other models.

4. CONCLUSIONS

This paper attempts to generate accurate and coherent Chinese alt texts for images. Drawing on the classic image captioning model NIC, the author designed a novel Chinese alt text writing model, coupling the DCNN model and deep GRU network. The NIC model was improved in two aspects, namely, image feature extraction and Chinese word encoding, and a new sentence generation model was created. On this basis, a high-performance alt text writing model was obtained. Through testing and objective evaluation, our model was proved as capable of generating Chinese alt texts for images, and outperform the NIC model in accuracy, coherence and readability of the generated sentences.

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