



Retinal Optical Coherence Tomography Image Denoising Using Modified Soft Thresholding Wavelet Transform

Jahida Subhedar¹, Shabana Urooj², Anurag Mahajan^{1*}

¹ Department of Electronics and Telecommunication Engineering, Symbiosis Institute of Technology, Symbiosis International (Deemed University), SIU, Pune 412115, India

² Department of Electrical Engineering, College of Engineering, Princess Nourah Bint Abdulrahman University, P.O. Box 84428, Riyadh 11671, Saudi Arabia

Corresponding Author Email: anurag.mahajan@sitpune.edu.in

<https://doi.org/10.18280/ts.400334>

Received: 7 October 2022

Accepted: 28 April 2023

Keywords:

optical coherence tomography, speckle noise, wavelet transform, particle swarm optimization, despeckling

ABSTRACT

Optical Coherence Tomography (OCT) represents a non-invasive imaging modality capable of capturing high-resolution cross-sectional images of anatomical structures by scanning the tissue of interest in a transverse manner. Nevertheless, the inherent speckle noise present in OCT images considerably degrades their textural and sharpness qualities. Conventional wavelet-based modified soft thresholding methods have been employed to preserve pertinent information in denoising OCT images, but their performance remains contingent upon hyperparameter tuning. In this study, we introduce a Particle Swarm Optimization (PSO)-based optimized Wavelet Threshold (WT) method for OCT image denoising. By automating the process of determining hyperparameter values dependent on image quality, PSO streamlines the denoising process. The optimization problem's fitness function is defined by the Peak Signal-to-Noise Ratio (PSNR) parameter. To evaluate the WT-PSO algorithm, we utilized performance metrics such as Mean Square Error (MSE), PSNR, Structural Similarity Index Metrics (SSIM), and Contrast-to-Noise Ratio (CNR) on a publicly available dataset comprising 17 retinal OCT images. The proposed denoising approach demonstrates comparable results to those obtained by manual iterative or trial methods, delivering marginal improvements in performance parameters and image quality. Moreover, our method outperforms traditional wavelet-based state-of-the-art techniques for denoising OCT images, highlighting its potential for widespread application in the field.

1. INTRODUCTION

Optical Coherence Tomography (OCT) imaging techniques, along with fundus imaging, play a vital role in diagnosing diseases in ophthalmology. OCT imaging is particularly useful for examining tissues of retinal layers and skin layers due to its penetrating capability. By utilizing a low coherence interferometer, OCT captures cross-sectional micro-level structural information about tissues, as illustrated in Figure 1. High-resolution images of internal micro-structures up to 1-2 mm in depth can be obtained using this imaging technique [1].

However, OCT images are inherently affected by speckle noise, which arises from the interference of transmitted and echo signals with coherent signal sources. Speckles can either carry structural information or act as noise that degrades image quality [2, 3]. Speckle noise, being multiplicative in nature, imparts a granular appearance to images, reduces contrast and resolution, and ultimately limits their analytical value [4]. The increasing accessibility and affordability of medical imaging technology has led to the generation of vast amounts of image data, which can be employed for Computer-Assisted Diagnosis (CAD) systems. High-quality images are essential for enhancing the performance of these CAD systems.

Traditional methods for reducing noise in OCT images include Mean, Median, Wiener, and Bilateral filtering [5]. However, these approaches often cause over-smoothing,

resulting in the loss of structural information. To avoid over-smoothing at image edges, the Total Variation Denoising (TVD) method was proposed [6].

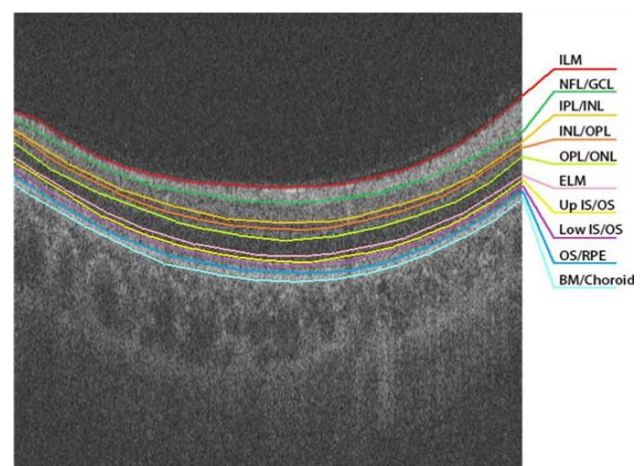


Figure 1. A typical retinal OCT image with 10 segmented layers [7]

Although TVD preserves edges, it may lead to texture over-smoothing and a staircase effect. Transform domain filtering methods, such as wavelet transform, curvelet-based [8],

contourlet-based [9], and Gaussianization transform [10], incorporating various shrinkage techniques, have proven more effective at preserving structural information. In studies by Zaki et al. [11] and Chen [12], noise-adaptive wavelet filtering for sub-bands was proposed by decomposing images into four sub-bands using wavelet transform. Each of the aforementioned methods offers its own advantages, and combining them can yield improved results. Techniques like the modified total variation method with wavelet algorithm, the total variation method with shearlet transform, and the Block matching 3D-based Total Variation [13] have been investigated to enhance speckle reduction, texture preservation, and edge preservation. However, the performance of these methods declines as noise levels increase.

Deep learning methods have also been explored for noise reduction in OCT images while preserving structural information and texture [14, 15]. These methods necessitate a significant amount of labeled data, which is both time-consuming and laborious to obtain. Acquiring databases with ground truth remains a challenge. CNN-based denoising models tend to have complex structures with numerous parameters that need to be tuned for optimal performance and to prevent over-fitting.

Among the various wavelet despeckling approaches, like hard thresholding, soft thresholding and modified soft thresholding, modified soft thresholding functions have been demonstrated to yield the best results for speckle noise removal while retaining structural information [16-21]. The modified soft thresholding function relies on three tuning parameters: the degree of curvature (β), the degree of shrinkage of high-frequency coefficients (α), and the threshold value (K). These parameter values determine the level of artifacts in the reconstructed image, preservation of edge information, and the threshold for speckle filtering. Appropriate values for these hyperparameters must be set to achieve balanced performance. Traditionally, these values are determined manually by testing the algorithm with different sets of hyperparameters. Given that hyperparameter values yielding high-quality denoised images vary based on input noisy image characteristics (e.g., image quality, scaling, orientation, intensity distribution), an automated method for setting these values based on image quality is necessary.

In this paper, we propose a Particle Swarm Optimization (PSO)-based wavelet filtering algorithm to automatically set hyperparameter values based on image quality and obtain high-quality denoised images. PSNR is employed as a fitness function for PSO. The PSO-based WT algorithm effectively denoises retinal OCT images, achieving results similar to those obtained using non-PSO methods. The algorithm improves PSNR values and the SSIM parameter, which measures the preservation of structural information in denoised images. The paper is structured as follows: Section 2 presents materials and methods, Section 3 discusses results, and Section 4 offers conclusions.

2. MATERIALS AND METHODS

The block diagram of the implemented PSO-WT (Particle Swarm Optimization based modified soft thresholding Wavelet Transform) method is shown in Figure 2.

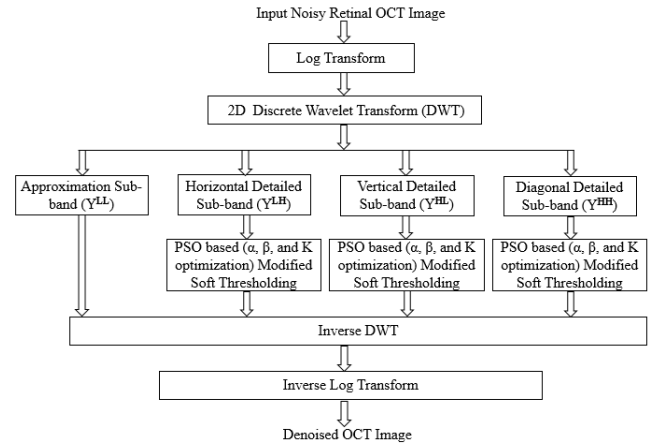


Figure 2. Block diagram of proposed PSO based modified soft thresholding for OCT image denoising

The noisy retinal OCT image (z) is input to the log transform block which convert multiplicative speckle noise into additive noise. By using log transforming the image we can use near additive models which are simpler to work [10]. So, followed the similar approaches as in studies [8, 10, 17, 19]. The 2D discrete wavelet transform (DWT) is taken to obtain approximation (low-frequency sub-band) and detailed coefficient (high-frequency sub-band data) representation of the input image data (z) of size $N \times N$. The size of each coefficient matrix is $(N/2 \times N/2)$, i and j are used for coefficient matrix indexing.

$$y = 2D_DWT(z) = (y_{ij}^{LL}, y_{ij}^{LH}, y_{ij}^{HL}, y_{ij}^{HH}) \quad (1)$$

The detailed wavelet coefficients are filtered using the modified threshold function given by Cao et al. [19]. It incorporates three hyper-parameters that decide the degree of curvature (β), degree of shrinkage of high frequency coefficient (α), and threshold value (K). The modified wavelet coefficients are obtained using Eqns. (2) and (3):

$$\tilde{y}_{i,j} = \begin{cases} x_{i,j} + \frac{2}{\pi} \tan^{-1}(\beta \frac{x_{i,j}}{Th} + \text{sgn}(y_{i,j}) \alpha) y_{th}, & |y_{i,j}| \geq y_{th} \\ \frac{2}{\pi} \tan^{-1}(\alpha) y_{i,j}, & |y_{i,j}| < y_{th} \end{cases} \quad (2)$$

$x_{(i,j)}$ is calculated by adding or subtracting the threshold value from the wavelet coefficient depending on whether y is greater than or less than y_{th} . The threshold value is calculated by:

$$y_{th} = K \frac{mdn(|HH_{1oct}|) \sqrt{2 \ln M}}{0.6754 L_d} \quad (3)$$

where, d is the decomposition level of the wavelet transform, L_d depends on decomposition level. M is the length of the signal.

The quality of denoised images depends on the values set for the three hyper parameters in Eqns. (2) and (3). We have used Particle Swarm Optimization (PSO) algorithm to optimize hyper-parameter values with PSNR as the fitness function. PSO mimics navigation logic followed by a flock of birds. It is used to find the optimum solution while maximizing or minimizing function value. Particles represent a bird's population [22].

$$P_i = \{\alpha_i, \beta_i, K_i\} \quad (4)$$

Every particle starts with random values of hyper-parameters in different directions. Particles move in the problem space following a set of rules. The image is reconstructed by inverse 2D DWT and inverse log transform for every particle and all the iterations. The fitness value i.e., PSNR of each particle solution is computed between input noisy image and reconstructed image.

$$Fitness = PSNR_{p_i} \quad (5)$$

If the particles fitness ($Fitness_{p_i}$) value is greater than the particle best ($Pbest$) so far then, particle best value is modified. In case the particles fitness ($Fitness_{p_i}$) value is greater than the global fitness ($Gbest$) value, the global value is modified. Then, the velocity and position (value) of the particles are updated for the next round of iteration.

For modified soft thresholding scheme each particle position (value) is comprises three parameters (α), (β) and k . Hence Eqns. (6) and (7) are used to modify the velocity and value of α for next iteration.

$$v_{\alpha_i}^{itr+1} = wv_{\alpha_i}^{itr} + c1r1(Pbest_{\alpha_i} - \alpha_i^{itr}) + c2r2(Gbest_{\alpha_i} - \alpha_i^{itr}) \quad (6)$$

$$\alpha_i^{itr+1} = \alpha_i^{itr} + v_{\alpha_i}^{itr+1} \quad (7)$$

where, $c1$, $c2$ are acceleration coefficients, itr is the number of iterations the particles would undergo, and w is inertia weight. The $r1$ and $r2$ are random numbers used to set the initial values of tuning parameters and $v_{\alpha_i}^{itr+1}$ is the velocity for i^{th} α particle in next iteration.

The Eqns. (8) and (9) are used to modify the velocity and value of β :

$$v_{\beta_i}^{itr+1} = wv_{\beta_i}^{itr} + c1r1(Pbest_{\beta_i} - \beta_i^{itr}) + c2r2(Gbest_{\beta_i} - \beta_i^{itr}) \quad (8)$$

$$\beta_i^{itr+1} = \beta_i^{itr} + v_{\beta_i}^{itr+1} \quad (9)$$

and Eqns. (10) and (11) for modifying k for next iteration.

$$v_{k_i}^{itr+1} = wv_{k_i}^{itr} + c1r1(Pbest_{k_i} - k_i^{itr}) + c2r2(Gbest_{k_i} - k_i^{itr}) \quad (10)$$

$$k_i^{itr+1} = k_i^{itr} + v_{k_i}^{itr+1} \quad (11)$$

The PSO algorithm is executed for several iterations. The final optimized solution for three hyper-parameter which maximizes the PSNR is obtained. The optimized values of hyper-parameters α , β , and k using PSO to give better performance may be different for different images, based on image quality, scaling, the orientation of image and intensity distribution, etc. Thus, the proposed system provides automation of hyperparameter tuning for modified soft thresholding wavelet denoising approach, irrespective of image the main steps of algorithm are stated in Algorithm.

Algorithm: Modified soft threshold wavelet transform with PSO for OCT image denoising

```

1: Read image
2: Convert image into log domain
3: Take 2D discrete wavelet transform
4: Num of iterations, itr
% initializations of algorithm parameters
5: Num of particles n
6: initialize array for n  $\alpha$  particles with random values (Positions)
7: initialize array for n  $\beta$  particles with random values (positions)
8: initialize array for n k particles with random values (Positions)
9: initialize array for Pbest for n particles
10: initialize Gbest value
11: initial velocities for  $\alpha$ ,  $\beta$ , and k
12: assign values for learning factors c1, c2, weight w, r1 and r2.
13: for j=1 to itr do
14:   for i=1 to n do
15:     detailed wavelet coefficient matrix filtering using Eqns. (2) and (3)
16: image reconstruction using inverse DWT.
17: compute PSNR as a fitness function value for PSO.
18:   if fitness > Pbest then
19:     Pbest=fitness
20: update particles best  $\alpha$ , particles best  $\beta$ , and particles best - k to current iteration values  $\alpha$ ,  $\beta$ , and k.
21:   end if
22:   if fitness > Gbest then
23:     Gbest=fitness
24:   update global best  $\alpha$ ,  $\beta$ , and k to current iteration values  $\alpha$ ,  $\beta$ , and k.
25:   end if
26: update value of  $\alpha$  for next iteration using Eqns. (6) and (7)
27: update value of  $\beta$  for next iteration using Eqns. (8) and (9)
28: update value of k for next iteration using Eqns. (10) and (11)
29:   end for
30: end for

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The performance of the proposed method for retinal OCT images is evaluated using parameters MSE, PSNR, SSIM, and CNR.

MSE (Mean square Error): This is a basic parameter used to compare the original noisy image (z) and denoised image (d), and N total number of pixels.

$$MSE = \frac{1}{N} \sum_{j=1}^N (Z_j - D_j)^2$$

PSNR (Peak signal to noise ratio): When SNR is expressed with reference to the maximum power of intensity within the image, it is referred to as PSNR.

$$PSNR = 20 \log_{10} \frac{(2^B - 1)}{\sqrt{MSE}}$$

where, B is the number of bits per pixel and $2^B - 1$ is the maximum value of gray level.

Contrast to Noise Ratio (CNR): This is a patch/window-based parameter. This gives a contrast relationship between background and image features. It specifies the ability to visualize the image features in a noisy environment:

$$CNR = \frac{1}{F} \sum_{f=1}^{f=F} \frac{|\mu_f - \mu_b|}{\sqrt{|\sigma_f^2 - \sigma_b^2|}}$$

where, μ_b and σ_b^2 are mean and the variance of background image, μ_f and σ_f^2 are mean and the variance of patches of foreground image.

Structural similarity index (SSIM): SSIM gives the structural relationship between the original image and the denoised image. This parameter justifies the human visual system more closely than PSNR. SSIM compares the two images based on luminance, contrast, and structure parameters.

$$SSIM = \frac{(2u_z u_d + b1)(2\sigma_{zd} + b2)}{(u_z^2 + u_d^2 + b1)(\sigma_z^2 + \sigma_d^2 + b2)}$$

where, μ_z , σ_z , are the mean, standard deviation of noisy input image, μ_d and σ_d are the mean, standard deviation of denoised image(d). σ_{zd} is cross-covariance for input noisy image(z) and denoised image(d). b1 and b2 are constants calculated from dynamic range of pixel values.

In implementation the SSIM function from MATLAB is used with all default parameters values except dynamic range and radius. Dynamic range is calculated from the denoised image, and the radius value is kept at 2.

3. RESULTS AND DISCUSSION

The data-set used for testing the algorithms was used in study [23]. The data-set consists of 17 retinal OCT images, of which ten images are of normal subjects, and seven are from

AMD (age-related macular degeneration) subjects. The algorithm is implemented in MATLAB R2017a, the system with Intel Core i5-7200U CPU, 2.50 GHz, and 8 GB RAM.

For wavelet transform, the wavelet family used is Daubechies (db2), and implemented decomposition level is 1. Cao et al. [19] implemented the modified soft thresholding algorithm for the skin OCT data-set, therefore, to compare the performance, we have implemented the modified soft thresholding [19] method with decomposition level 1 for retinal OCT images.

The hyperparameter variations are set within limits [19] (alpha=0-0.5, beta 1 to 5, and k=1 to 1.5). To provide a large number of combinations of values of α , β , and k number of particles is set to 100, and by observations during the experimentations, the number of iterations is fixed to 15 when the PSNR value almost becomes stable.

As performance of wavelet based denoising approach can be improved by changing the threshold selection method, hard thresholding to soft thresholding, reducing the effect of Gibbs phenomena [19]. Then as speckle can be noise or carrier of useful information, useful information can be preserved by modified soft thresholding method. Its effectiveness depends on combination of hyper parameter values α , β , and k. We have automated the process of setting these parameters which is independent of quality of noisy input images.

The values of α , β , and k that perform better denoising differ for different images. Finding these values manually by the trial method is not the convenient way every time input image characteristics (like the quality of the image, Scaling, the orientation of the image, intensity distribution, etc.) are changed, so the proposed method suggests an automated method of setting values of hyperparameter of modified soft thresholding-based denoising algorithm. The automated method of setting hyperparameters gives comparable results that would be obtained by the manual trial method. It is observed from the Tables 1-4 the performance parameters MSE, PSNR, SSIM, and CNR are improved marginally.

Table 1. Performance parameter: MSE

Method \image database	Donoho hard thresholding [24]	Donoho soft thresholding [18]	Modified soft thresholding [19]	PSO based wavelet thresholding (proposed)
Image 1	362.29	206.88	160.0179	158.206
Image 2	316.11	184.36	142.9952	141.1112
Image 3	325.42	190.56	147.8231	146.2622
Image 4	293.73	170.01	133.6118	131.5868
Image 5	240.81	138.39	106.5273	105.7769
Image 6	296.11	178.18	139.3969	137.6856
Image 7	284.77	169.12	130.619	128.8745
Image 8	291.39	172.95	135.1155	133.8362
Image 9	352.04	209.11	161.9777	160.493
Image 10	354.88	201.70	156.8982	152.6841
Image 11	348.35	197.78	155.3355	153.7897
Image 12	347.00	194.22	152.2209	150.7548
Image 13	364.31	202.27	157.2588	155.799
Image 14	332.02	183.43	143.3578	140.825
Image 15	340.89	187.06	145.6276	143.8037
Image 16	334.00	186.87	144.7187	143.2287
Image 17	312.82	183.47	141.0186	139.5642
Mean MSE	323.35	185.67	144.3835	142.6048

Table 2. Performance parameter PSNR

Method \image database	Donoho hard thresholding [24]	Donoho soft thresholding [18]	Modified soft thresholding [19]	PSO based wavelet thresholding (proposed)
Image 1	22.54	24.97	26.0891	26.1386
Image 2	23.13	25.47	26.5776	26.6352
Image 3	23.00	25.33	26.4334	26.4795
Image 4	23.45	25.83	26.8724	26.9387
Image 5	24.31	26.72	27.8562	27.8869
Image 6	23.42	25.62	26.6883	26.7419
Image 7	23.59	25.85	26.9707	27.0291
Image 8	23.49	25.75	26.8238	26.8651
Image 9	22.66	24.93	26.0363	26.0762
Image 10	22.63	25.08	26.1746	26.2929
Image 11	22.71	25.17	26.2181	26.2615
Image 12	22.73	25.25	26.3061	26.3481
Image 13	22.52	25.07	26.1647	26.2052
Image 14	22.92	25.50	26.5666	26.644
Image 15	22.80	25.41	26.4984	26.5531
Image 16	22.89	25.42	26.5256	26.5705
Image 17	23.18	25.50	26.638	26.6831
Mean PSNR	23.06	25.46	26.5552	26.6088

Table 3. Performance parameter SSIM

Method \image database	Donoho hard thresholding [24]	Donoho soft thresholding [18]	Modified soft thresholding [19]	PSO based wavelet thresholding (proposed)
Image 1	0.50	0.59	0.6307	0.6394
Image 2	0.51	0.61	0.6417	0.6505
Image 3	0.51	0.60	0.6353	0.6436
Image 4	0.52	0.61	0.6471	0.657
Image 5	0.54	0.64	0.6832	0.6866
Image 6	0.52	0.60	0.6406	0.6499
Image 7	0.52	0.61	0.6462	0.6547
Image 8	0.52	0.61	0.6479	0.6547
Image 9	0.52	0.61	0.6491	0.6561
Image 10	0.52	0.61	0.6527	0.6617
Image 11	0.53	0.62	0.6615	0.6685
Image 12	0.51	0.61	0.6502	0.6568
Image 13	0.53	0.63	0.6662	0.6721
Image 14	0.51	0.61	0.6466	0.657
Image 15	0.51	0.61	0.6491	0.6576
Image 16	0.51	0.61	0.6443	0.6528
Image 17	0.51	0.60	0.6490	0.6505
Mean SSIM	0.52	0.61	0.6490	0.6570

Table 4. Performance parameter CNR

Method \image database	Donoho hard thresholding [24]	Donoho soft thresholding [18]	Modified soft thresholding [19]	PSO based wavelet thresholding (proposed)
Image 1	0.50	0.59	0.6307	0.6394
Image 2	0.51	0.61	0.6417	0.6505
Image 3	0.51	0.60	0.6353	0.6436
Image 4	0.52	0.61	0.6471	0.657
Image 5	0.54	0.64	0.6832	0.6866
Image 6	0.52	0.60	0.6406	0.6499
Image 7	0.52	0.61	0.6462	0.6547
Image 8	0.52	0.61	0.6479	0.6547
Image 9	0.52	0.61	0.6491	0.6561
Image 10	0.52	0.61	0.6527	0.6617
Image 11	0.53	0.62	0.6615	0.6685
Image 12	0.51	0.61	0.6502	0.6568
Image 13	0.53	0.63	0.6662	0.6721
Image 14	0.51	0.61	0.6466	0.657
Image 15	0.51	0.61	0.6491	0.6576
Image 16	0.51	0.61	0.6443	0.6528
Image 17	0.51	0.60	0.6490	0.6505
Mean CNR	0.52	0.61	0.6490	0.6570

Table 5. Comparison of PSNR and SSIM [15] for MSBTD [25] (state of art method) and PSO based wavelet thresholding (proposed)

Method/Parameter	MSBTD	PSO based wavelet thresholding (proposed)
Mean PSNR	26.46	26.61
Mean SSIM	0.56	0.66

In Table 5 gives comparison of for MSBTD [25] (state of art method) for OCT denoising which has used same dataset.

Time taken for execution for hard thresholding is 19 sec, for soft thresholding 17 sec and for modified soft thresholding 18 sec. Average time taken by MSBTD method for denoising one OCT B scan is around 9 minutes and for proposed method, it is around 179 sec.

The OCT retinal image denoising is carried out for all 17 images in the database. The processing of one of the images (Image 4 from the database) is shown in Figure 3 below.

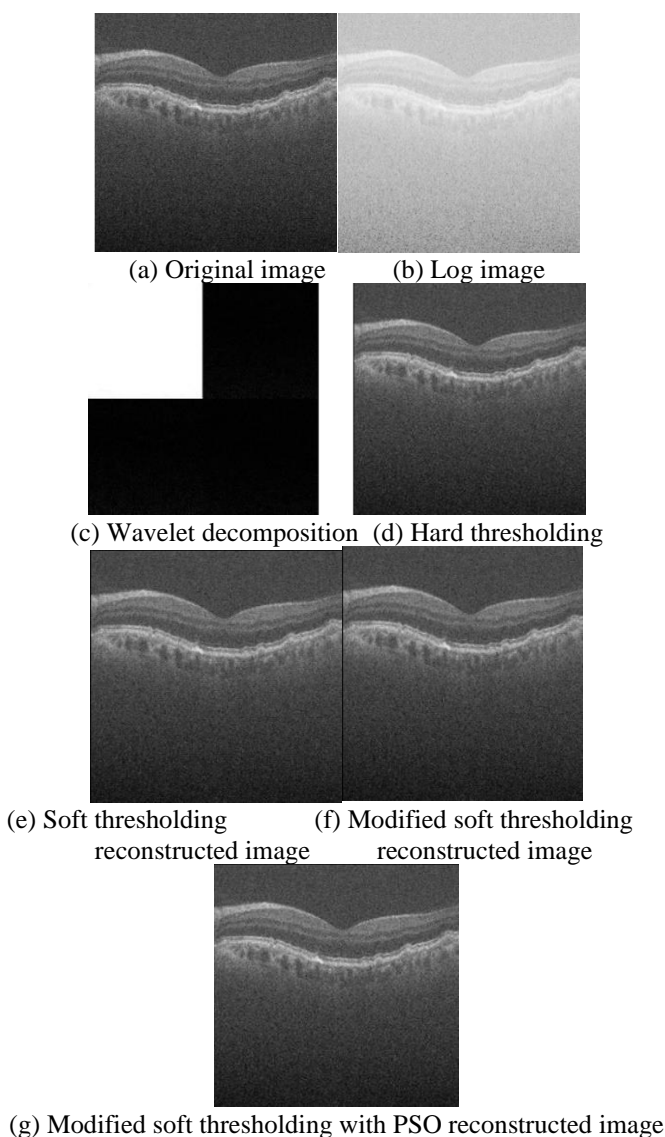


Figure 3. OCT retinal image processing using wavelet transforms (Image 4 from database)

4. CONCLUSIONS

The modified soft thresholding-based denoising algorithm

preserves the finer details of images. Still, the performance depends on tuning parameters that affect artifacts, edge preservation in the reconstructed image, and filtering of speckles carrying information. It is observed that the use of PSO based tuning of parameters improves results marginally as compared to the same methods without PSO. The advantage here is that there is no need to manually find and set the hyper parameter values whenever quality of input image (due to Scaling, orientation of the image, intensity distribution, etc.) is changed.

The proposed method is implemented for denoising any OCT images of different characteristics and getting optimum PSNR (fitness function for PSO) value. In Future work, if any specific parameter is to be improved that can considered as fitness function and its effectiveness on overall performance can be tested. Also, effectiveness of the proposed denoising algorithm can be tested by segmentation or classification tasks using denoised OCT images for disease detection.

ACKNOWLEDGMENT

Princess Nourah bint Abdulrahman University Researchers Supporting Project number (PNURSP2023R79); Princess Nourah bint Abdulrahman University, Riyadh, Saudi Arabia.

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